

# COSC 2011 Section N

Thursday, April 26 2001

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## Overview

- Skip Lists
  - ◆ Description, Definition
  - ◆ ADT
  - ◆ Searching
  - ◆ Insertion
  - ◆ Removal
  - ◆ Notes

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## Skip Lists - Description: (1)

- Data structure for efficient realization of the *Ordered Dictionary*.
- Makes random choices in arranging the items
  - ◆ *Average* Search and Update times:  $O(\log n)$
  - ◆ Doesn't depend on the probability distribution of the keys!

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## Skip Lists - Description: (2)

- Random Number Generator for Insertions:
  - ◆ Help decide where to place a new item.
  - ◆ Data structures and algorithms utilizing *randomization* are usually simple and efficient!

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## Skip Lists – Random Numbers (1)

- Extensive use for Random Numbers:
  - ◆ Cryptography, computer simulations, computer games...
  - ◆ Usually, not really random! But rather, *pseudo-random*.
    - ★ Generates random-like numbers.
    - ★ Good enough for most situations!

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## Skip Lists – Random Numbers (2)

- Random Numbers with Java:
  - ◆ `Math.random()`
  - ◆ `Java.util.Random()`

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## Skip Lists – Definition: (1)

- *Skip List S* for Dictionary *D*:
  - ◆ Consists of a series of lists  $\{S_0, S_1, S_2, \dots, S_h\}$ .
  - ◆ Each list  $S_i$  stores a subset of the items of *D*:
    - ★ Sorted by non - decreasing key
    - ★ Items with two special keys:  $+\infty$  and  $-\infty$
    - ★  $-\infty$   $\textcircled{R}$  less than every possible key *k* to be inserted in *D*.

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## Skip Lists – Definition: (2)

- ★  $+\infty$   $\textcircled{R}$  greater than every possible key *k* to be inserted in *D*.
- ◆ Each  $S_i$  also satisfies the following:
  1. List  $S_0$  contains every item of *D* and  $-\infty, +\infty$
  2. For  $i = 1 \dots h$ ,  $S_i$  contains a random generated subset of items in List  $S_{i-1}$  &  $-\infty, +\infty$
  3. List  $S_h$  contains only  $-\infty, +\infty$

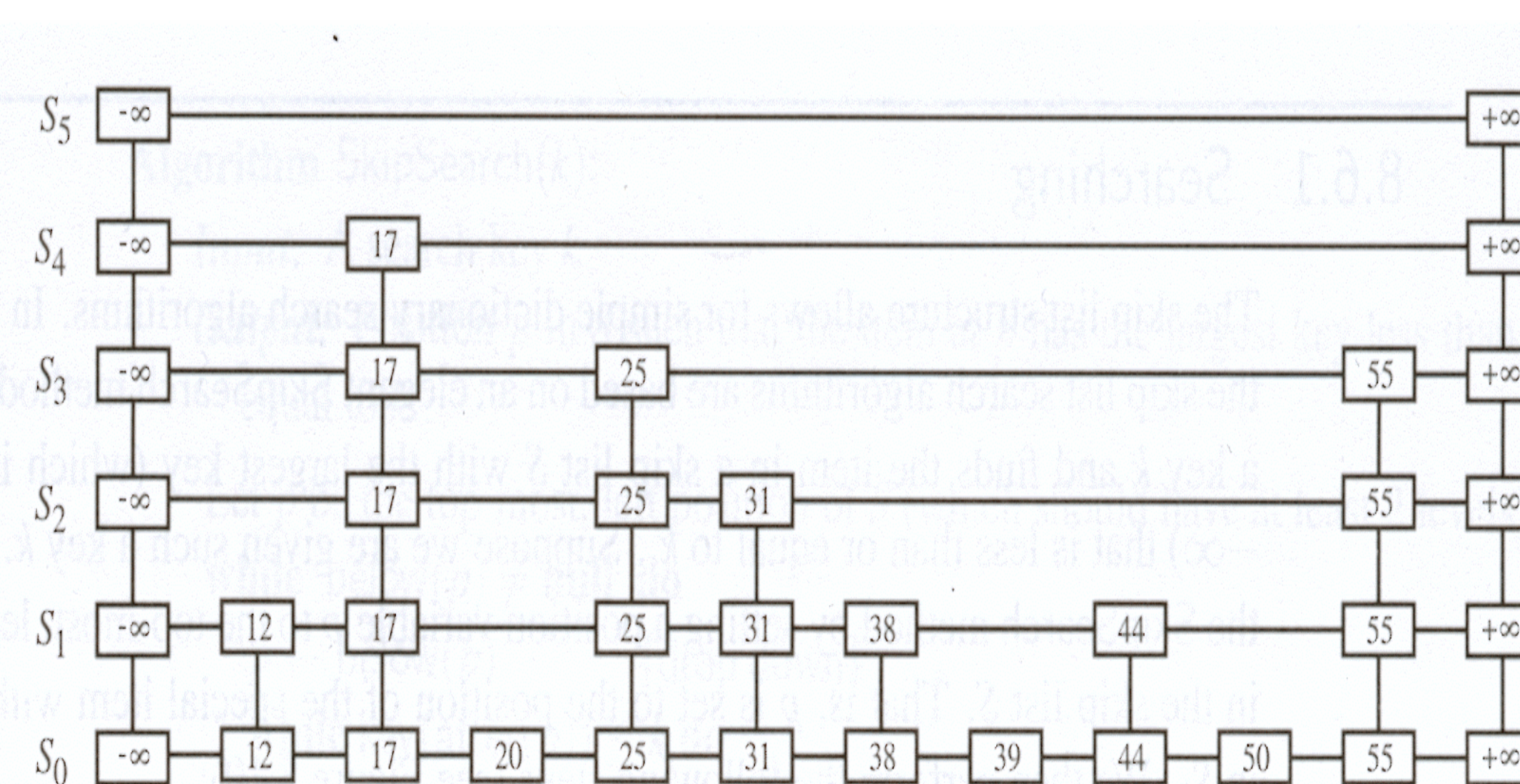
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## Skip Lists – Definition: (3)

- 2D collection of *positions* arranged horizontally into *levels* and vertically into *towers*.



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## Skip Lists – Definition: (4)

- Explanation:
  - ◆  $S_{i+1}$  contains approx. every other item in  $S_i$ .
  - ◆ Items in  $S_{i+1}$  are chosen randomly from  $S_i$ .
    - ★ Probability of  $1/2$  for each item.
  - ◆ In general:  $S_i$  contains approx.  $n / 2^i$  items.
    - ★ Height  $h \rightarrow \log n$

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## Skip Lists – ADT

- ADT Specialized Methods:

*after(p)*: Return position after  $p$  on same level.

*before(p)*: Return position before  $p$  on same level.

*below(p)*: Return position below  $p$  on same tower.

*above(p)*: Return position above  $p$  on same tower.

Return **null** if no position!

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## Skip Lists – Searching: (1)

- *SkipSearch* Algorithm:

**Input:** Search key  $k$

**Output:** Position  $p$  in  $S$  s.t item at  $p$  has largest key  $\leq k$

Let  $p$  be top most, left position of  $S$ :

```
while below( $p$ )  $\neq$  null do  
   $p \leftarrow$  below( $p$ )  {drop down}  
  while key (after( $p$ ))  $\leq k$  do  
     $p \leftarrow$  after( $p$ )  {scan forward}  
return  $p$ 
```

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## Skip Lists – Searching: (2)

- *SkipSearch* Algorithm:

- ◆ Takes key  $k$  and finds item in  $S$  with largest key  $\leq k$  (possibly  $-\infty$ ).

- ◆ Begin at top most, left position in  $S$  ( $-\infty$ ) call it  $p$ :

1. If  $S.below(p) = \text{null}$  then done – located largest key  $\leq k$  in  $S$ . otherwise drop down a level:  $p \leftarrow below(p)$ .

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## Skip Lists – Searching: (2)

2. Otherwise, if  $S.after(p) \leq k$  then  $p \leftarrow after(p)$ . When  $after(p) > key$ , go back to step 1.

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## Skip Lists – Insertion: (1)

- Insertion:
  - ◆ Randomization to decide how many references to new item  $(k, e)$  to add.
  - ◆ Perform SkipSearch( $k$ )
    - ★ Gives position  $p$  of bottom level item with  $key \leq k$ .
  - ◆ Insert new item right after  $p$  on same level.

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## Skip Lists – Insertion: (2)

- ◆ Call method random - returns number between 0 and 1.
  - ★ If return is  $\leq 1/2$  add copy of new item one level up.
  - ★ If return  $> 1/2$  stop – do not insert any more copies!
  - ★ This process creates the tower.

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## Skip Lists – Insertion: (3)

### Algorithm SkipInsert( $k, e$ )

Input: Item( $k, e$ )

Output: None

```
 $p \leftarrow \text{SkipSearch}(k)$   
 $q \leftarrow \text{insertAfterAbove}(p, \text{null}, (k,e))$   
while random() < 1/2 do  
    while above( $p$ ) = null do  
         $p \leftarrow \text{before}(p)$   
     $p \leftarrow \text{above}(p)$   
     $q \leftarrow \text{insertAfterAbove}(p, q, (k,e))$ 
```

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## Skip Lists – Removal: (1)

Algorithm SkipRemove(k)

**Input:** Key k

**Output:** Position (element with key k) if found otherwise NO\_SUCH\_KEY

$p \leftarrow \text{SkipSearch}(k)$

**if**  $\text{key}(p) \neq k$

    return NO\_SUCH\_KEY

**else**

    use  $\text{above}(p)$  to go to top most level of position  $p$  in tower

    remove all positions in tower starting from top

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## Skip Lists –Notes: (1)

- Insert / Removal:  $O(\log n)$
- Improvements:
  - ◆ No need for references to the items at levels above 0 – only keys are needed.
  - ◆ No need for *above* and *before* methods.
    - ★ Perform insertion and removal in a top-down scan-forward approach thus saving space for “up”, “prev” references

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## Skip Lists –Notes: (2)

- Improvements wont affect running time by more than a constant!
- Experiments indicate optimized Skip Lists in practice are faster than AVL trees and other balanced search trees!
- Keep reference to top most left position in S.
  - ◆ Can't insert beyond top level of S.

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