Posted: Nov. 17, 2018 Due: Dec. 4, 2018 by 3:00pm in the course box.

## Problem Set No. 3

**NB.** All problems are equally weighted and will be assigned a letter grade; an overall letter grade for the paper will be computed using York's 0–9 gpa scale.

This is not a course on *formal* recursion theory. Your proofs should be informal (but **not** sloppy), correct, and informative (and if possible short). Please do not trade length for correctness or readability.

All problems are from "Theory of Computation".

(1) From Section 2.12 do 53, 61 (the Hint helps!)

 $\diamond$  For #53, please do not use *either* of the two Rice's Lemmata!

On the positive side, each subproblem in #53 asks *also* "is it c.e.?" If you happen to answer this *first* (in the negative), then it cuts your work in half!

(2) From Section 5.3: Do 23, 32.

(3)

2 The answer to this problem is way shorter than the question!

In class we proved Gödel's (first) Incompleteness theorem by showing that CA —the set of all true *sentences*<sup>\*</sup> of Arithmetic— is not c.e., that is, it is "bigger" than (hence not equal to!) the set of theorems of Arithmetic, which *is* c.e.

**Ignore that methodology** and prove instead *in outline* —exactly as Gödel did— that there is a *sentence* of Peano Arithmetic (PA) S such that PA proves neither S nor  $\neg S$ .

Here is what you should recall/do to realise this plan:

- We proved in class that if  $Q \in \mathscr{PR}_*$  then Q is arithmetical, i.e., it can be expressed as a formula of (Peano) arithmetic, that is, it is obtained from initial predicates y = x + 1, z = x + y, z = xy and  $z = x^y$ , using *closure* under Boolean operations, quantifiers, and *term substitutions*.
- For convenience, use the notation  $\lceil A \rceil$  or gn(A) to denote the Gödel number of formula A under some coding.
- *Take as a given* that Peano Arithmetic is *sound*, that is, all its theorems that are *sentences* are true.<sup>†</sup>

EECS 4111/5111. George Tourlakis. Fall 2018

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<sup>\*</sup>Recall that a "sentence" of first-order logic is a formula with no free variables.

 $<sup>^{\</sup>dagger}$  Of course, the concept of truth for a non-sentence depends on variables; that is why we restrict our soundness statement to sentences.

- Take as a given that we have a proof predicate  $P(y, x) \in \mathscr{PR}_*$  for Peano Arithmetic that is true for any specific values y and x precisely when the formula with Gödel number x has a proof coded by y.<sup>‡</sup>
- Thus, the predicate  $\Theta$  given by

$$\Theta(x) \stackrel{Def}{\equiv} (\exists y) P(y, x)$$

is true iff the formula with Gödel number x is a theorem.

• Fix the order (and actual names) of object variables of our fisrt-order Logic to

 $v_0, v_1, v_2, \ldots$ 

Take as a given that we have a ("Gödel's") "substitution" function  $\lambda xy.s(x, y)$  in  $\mathscr{PR}$  such that s(x, y) is the Gödel number of the formula we obtain from the one of Gödel number x, after we replace  $v_0$  in that formula by the number y.<sup>§</sup>

• As Gödel did, **prove** his "fix point theorem", which is an *identical* precursor to Kleene's recursion theorem, in statement *and* proof (hint, hint! :-) This states: For any one variable formula  $A(v_0)$  there is a natural number e such that

$$e = \lceil A(e) \rceil \tag{1}$$

*Hint*. Immitate the proof of the recursion theorem: Start with formula  $A(s(v_0, v_0))$ .

• As Gödel did, use  $\Theta(x)$  and (1) to express the sentence

as a formula of PA.

- Using one of our bulleted facts above, prove that PA cannot prove (2), hence sentence (2) is true! This is your S!
- Argue that  $\neg S$  cannot be proved either!

<sup>&</sup>lt;sup>‡</sup>Gödel proved this in his paper.

<sup>&</sup>lt;sup>§</sup>Gödel proved this. s(x, y) is his version of the Smn function! Indeed, what does Kleene's  $S_1^1(x, y)$  denote? It denotes the program code (read: Gödel number) of a program obtained from program (of Gödel number) x, after some designated input variable  $\mathbf{z}$  was replaced by y (by doing  $\mathbf{z} \leftarrow y$ ).