# A user-friendly Introduction to (un)Computability and Unprovability via "Church's Thesis" Part II 

This is Part II of our Uncomputability notes.

We introduce "half-computable" relations $Q(\vec{x})$ here.
These play a central role in Computability.
The term "half-computable" describes them well: For each of these relations there is a URM $M$ that will halt precisely for the inputs $\vec{a}$ that make the relation true:
i.e., $\vec{a} \in Q$ or equivalently $Q(\vec{a})$ is true.

For the inputs that make the relation false $\vec{b} \notin Q-M$ loops forever.

That is, M verifies membership but does not yes/no-decide it by halting and "printing" the appropriate 0 (yes) or 1 (no).

Can't we tweak $M$ into $M^{\prime}$ that is a decider of such a $Q$ ?
No, not in general! For example, the halting set $K$ has a verifier
(2) Right? $x \in K \equiv \phi_{x}(x) \downarrow \equiv U^{(P)}(x, x) \downarrow$.

So any program $M_{Y}^{X}$ for the partial recursive $\lambda x \cdot U^{(P)}(x, x)$ is a verifier for $x \in K$. See also 0.1.2 below.
(2)

But we KNOW that $x \in K$ has NO decider!
Since the "yes" of a verifier $M$ is signaled by halting but the "no" is signaled by looping forever, the definition below does not require the verifier to print 0 for "yes". Here "yes" equals "halting".

### 0.1. Semi-decidable relations (or sets)

### 0.1.1 Definition. (Semi-recursive or semi-decidable sets)

A relation $Q\left(\vec{x}_{n}\right)$ is semi-decidable or semi-recursive - what we called suggestively "half-computable" above-
iff
there is a URM, $M$, which on input $\vec{x}_{n}$ has a (halting!) computation iff $\vec{x}_{n} \in Q$.

The output of $M$ is unimportant!

A less civilized, but more mathematically precise way to say the above is:
A relation $Q\left(\vec{x}_{n}\right)$ is semi-decidable or semi-recursive iff there is an $f \in \mathcal{P}$ such that

$$
\begin{equation*}
Q\left(\vec{x}_{n}\right) \equiv f\left(\vec{x}_{n}\right) \downarrow \tag{1}
\end{equation*}
$$

Clearly, an $f \in \mathcal{P}$ is some $M_{y}^{Z_{n}}$. Thus, $M$ is a verifier for $Q$.

The set of all semi-decidable relations we will denote by $\mathcal{P}_{*} .^{\dagger}$

[^0]The following figure shows the two modes of handling a query, " $\vec{x}_{n} \in A$ ", by a URM.


Here is an important semi-decidable set.
< 0.1.2 Example. $K$ is semi-decidable. To work within the formal definition II. (0.1.1) we note that the function $\lambda x . \phi_{x}(x)$ is in $\mathcal{P}$ via the universal function theorem of Part I: $\lambda x \cdot \phi_{x}(x)=\lambda x \cdot U^{(P)}(x, x)$ and we know $U^{(P)} \in \mathcal{P}$.

Thus $x \in K \equiv \phi_{x}(x) \downarrow$ settles it. By Definition 0.1.1 (statement labeled (1)) we are done.


2 0.1.3 Example. Any recursive relation $A$ is also semi-recursive.
That is,

$$
\mathcal{R}_{*} \subseteq \mathcal{P}_{*}
$$

Indeed, intuitively, all we need to do to convert a decider for $\vec{x}_{n} \in A$ into a verifier is to "intercept" the "print 1 "-step and convert it into an "infinite loop",
$k$ : goto $k$

By CT we can certainly do the whole thing via a URM implementation.

A more elegant way (which still invokes CT) is to say, OK: Since $A \in \mathcal{R}_{*}$, it follows that $c_{A}$, its characteristic function, is in $\mathcal{R}$.

Define a new function $f$ as follows:

$$
f\left(\vec{x}_{n}\right)= \begin{cases}0 & \text { if } c_{A}\left(\vec{x}_{n}\right)=0 \\ \uparrow & \text { if } c_{A}\left(\vec{x}_{n}\right)=1\end{cases}
$$

This is intuitively computable (the " $\uparrow$ " is implemented by the same "piece of code" as above).

Hence, by CT, $f \in \mathcal{P}$. But

$$
\vec{x}_{n} \in A \equiv f\left(\vec{x}_{n}\right) \downarrow
$$

because of the way $f$ was defined. Definition 0.1 .1 rests the case.

One more way to do this: Totally mathematical ("formal", as people say incorrectly ${ }^{\dagger}$ ) this time!

OK,

$$
f\left(\vec{x}_{n}\right)=\text { if } c_{A}\left(\vec{x}_{n}\right)=0 \text { then } 0 \text { else } \emptyset\left(\vec{x}_{n}\right)
$$

That is, using the $s w$ function that is in $\mathcal{P} \mathcal{R}$ and hence in $\mathcal{P}$, as in

$\emptyset$ is, of course, the empty function which by Grz-Ops can have any number of arguments we please! For example, we may take

$$
\emptyset=\lambda \vec{x}_{n} \cdot(\mu y) g\left(y, \vec{x}_{n}\right)
$$

where $g=\lambda y \vec{x}_{n} . S Z(y)=\lambda y \vec{x}_{n} .1$.

In what follows we will prefer the informal way (proofs by Church's Thesis) of doing things, most of the time.

An important observation following from the above examples deserves theorem status:

[^1]0.1.4 Theorem. $\mathcal{R}_{*} \subset \mathcal{P}_{*}$

Proof. The $\subseteq$ part of " $\subset$ " is Example 0.1.3 above.
The $\neq$ part is due to $K \in \mathcal{P}_{*}$ (0.1.2) and the fact that the halting problem is unsolvable $\left(K \notin \mathcal{R}_{*}\right)$.

So, there are sets in $\mathcal{P}_{*}$ (e.g., $K$ ) that are not in $\mathcal{R}_{*}$.

What about $\bar{K}$, that is, the complement

$$
\bar{K}=\mathbb{N}-K=\left\{x: \phi_{x}(x) \uparrow\right\}
$$

of $K$ ? Is it perhaps semi-recursive (verifiable)?

The following general result helps us handle the above question.
0.1.5 Theorem. A relation $Q\left(\vec{x}_{n}\right)$ is recursive jiff both $Q\left(\vec{x}_{n}\right)$ and $\neg Q\left(\vec{x}_{n}\right)$ are semi-recursive.

Before we proceed with the proof, a remark on notation is in order.
In "set notation" we write the complement of a set, $A$, of $n$-tuples as $\bar{A}$. This means, of course, $\mathbb{N}^{n}-A$, where

$$
\mathbb{N}^{n}=\underbrace{\mathbb{N} \times \cdots \times \mathbb{N}}_{n \text { copies of } \mathbb{N}}
$$

In "relational notation" we write the same thing (complement) as

$$
\neg A\left(\vec{x}_{n}\right)
$$

Similarly,

$$
\begin{aligned}
& \text { "set notation": } A \cup B, \quad A \cap B \\
& \text { "relational notation": } A\left(\vec{x}_{n}\right) \vee B\left(\vec{y}_{m}\right), \quad A\left(\vec{x}_{n}\right) \wedge B\left(\vec{y}_{m}\right)
\end{aligned}
$$

Back to the proof.

Proof. We want to prove that some URM, $N$, decides

$$
\vec{x}_{n} \in Q
$$

We take two verifiers, $M$ for " $\vec{x}_{n} \in Q$ " and $M^{\prime}$ for " $\vec{x}_{n} \in \bar{Q} ",{ }^{\dagger}$ and run them -on input $\vec{x}_{n}$ - as "co-routines" (i.e., we crank them simultaneously).

If $M$ halts, then we stop everything and print "0" (i.e., "yes").
If $M^{\prime}$ halts, then we stop everything and print "1" (i.e., "no").
CT tells us that we can put the above - if we want to - into a single URM, $N$.

[^2]2 0.1.6 Remark. The above proof handled only the "if" direction. For the "only II if" this is trivial:
$\mathcal{R}_{*}$ is closed under complement (negation) as we showed way back in a perevious Note.

Thus, if $Q$ is in $\mathcal{R}_{*}$, then so is $\bar{Q}$, by closure under $\neg$. By Theorem 0.1.4, both of $Q$ and $\bar{Q}$ are in $\mathcal{P}_{*}$.
0.1.7 Example. $\bar{K} \notin \mathcal{P}_{*}$.

Now, this $(\bar{K})$ is a horrendously unsolvable problem! This problem is so hard it is not even semi-decidable!

Why? Well, if instead it were $\bar{K} \in \mathcal{P}_{*}$, then combining this with Example 0.1.2 and Theorem 0.1 .5 we would get $K \in \mathcal{R}_{*}$, which we know is not true.


### 0.2. Unsolvability via Reducibility

We turn our attention now to a methodology towards discovering new undecidable problems, and also new non semi-recursive problems, beyond the ones we learnt about so far, which are just,

1. $x \in K$,
2. $\phi_{i}=\phi_{j}$ (equivalence problem)
3. and $x \in \bar{K}$.

In fact, we will learn shortly that $\phi_{i}=\phi_{j}$ is worse than undecidable; just like $\bar{K}$ it too is not even semi-decidable.

The tool we will use for such discoveries is the concept of reducibility of one set to another:
0.2.1 Definition. (Strong reducibility) For any two subsets of $\mathbb{N}, A$ and $B$, we write

$$
A \leq_{m} B^{\dagger}
$$

or more simply

$$
\begin{equation*}
A \leq B \tag{1}
\end{equation*}
$$

pronounced $A$ is strongly reducible to $B$, meaning that there is a (total) recursive function $f$ such that

$$
\begin{equation*}
x \in A \equiv f(x) \in B \tag{2}
\end{equation*}
$$

We say that "the reduction is effected by f".
The last sentence has the notation $A \leq^{f} B$.

In words, $A \leq_{m} B$ says that we can algorithmically solve the problem $x \in A$ if we know how to solve $z \in B$. The algorithm is:

1. Given $x$.
2. Given the "subroutine" $z \in B$.
3. Compute $f(x)$.
4. Give the same answer for $x \in A$ (true or false) as you do for $f(x) \in B$.
[^3]When $A \leq_{m} B$ holds, then, intuitively,
" $A$ is easier than $B$ to either decide or verify"
since if we know how to decide or (only) verify membership in $B$ then we can decide or (only) verify membership in $A: \quad x \in A$ ?"

All we have to do is compute $f(x)$ and ask instead the question " $f(x) \in B$ " which we can decide or verify.

This observation has a very precise counterpart (Theorem 0.2 .3 below).
0.2.2 Lemma. If $Q(y, \vec{x}) \in \mathcal{P}_{*}$ and $\lambda \vec{z} . f(\vec{z}) \in \mathcal{R}$, then $Q(f(\vec{z}), \vec{x}) \in \mathcal{P}_{*}$.

Proof. By Definition 0.1 .1 there is a $g \in \mathcal{P}$ such that

$$
\begin{equation*}
Q(y, \vec{x}) \equiv g(y, \vec{x}) \downarrow \tag{1}
\end{equation*}
$$

Now, for any $\vec{z}, f(\vec{z})$ is some number which if we plug into $y$ in (1) we get an equivalence:

$$
\begin{equation*}
Q(f(\vec{z}), \vec{x}) \equiv g(f(\vec{z}), \vec{x}) \downarrow \tag{2}
\end{equation*}
$$

But $\lambda \vec{z} \vec{x} \cdot g(f(\vec{z}), \vec{x}) \in \mathcal{P}$ by Grz-Ops. Thus, (2) and Definition 0.1.1 yield $Q(f(\vec{z}), \vec{x}) \in \mathcal{P}_{*}$.
0.2.3 Theorem. If $A \leq^{g} B$ in the sense of 0.2.1, then
(i) if $B \in \mathcal{R}_{*}$, then also $A \in \mathcal{R}_{*}$
(ii) if $B \in \mathcal{P}_{*}$, then also $A \in \mathcal{P}_{*}$

Proof.
(i) The assumption says that $z \in B$ is in $\mathcal{R}_{*}$.

So is $g(x) \in B$ by Grz. Ops. (Way back).

But $x \in A \equiv g(x) \in B$, so $x \in A$ is in $\mathcal{R}_{*}$.
(ii) Let $z \in B$ be in $\mathcal{P}_{*}$.

By 0.2.2, so is $g(x) \in B$. But this says $x \in A$.

Taking the "contrapositive", we have at once:
0.2.4 Corollary. If $A \leq B$ in the sense of 0.2.1, then
(i) if $A \notin \mathcal{R}_{*}$, then also $B \notin \mathcal{R}_{*}$
(ii) if $A \notin \mathcal{P}_{*}$, then also $B \notin \mathcal{P}_{*}$

We can now use $K$ and $\bar{K}$ as a "yardsticks" -or reference "problems" - and discover new undecidable and also non semi-decidable problems.

The idea of the corollary is applicable to the so-called "complete index sets".
0.2.5 Definition. (Complete Index Sets) Let $\mathcal{C} \subseteq \mathcal{P}$ and $A=\left\{x: \phi_{x} \in \mathcal{C}\right\}$.
$A$ is thus the set of ALL programs (known by their addresses) $x$ that compute any unary $f \in \mathcal{C}$ :

Indeed, let $\lambda x . f(x) \in \mathcal{C}$. Thus $f=\phi_{i}$ for some $i$. Then $i \in A$.
But this is true of all $\phi_{m}$ that equal $f$.
We call $A$ a complete index (programs-) set.

We embark on several examples, but first note the FORM of S-m-n Theorem that we will be using going forward:
0.2.6 Theorem. (S-m-n in practice) If $\psi \in \mathcal{P}$ has two arguments, then there is a unary $h \in \mathcal{R}$ such that

$$
\begin{equation*}
\psi(x, y)=\phi_{h(x)}(y) \tag{1}
\end{equation*}
$$

for all $x, y$.

Proof. Fix an $i$ such that $\psi(x, y)=\phi_{i}^{(2)}(x, y)$, for all $x, y$.
By S-m-n, we have a recursive $\lambda i x \cdot S_{1}^{1}(i, x)$ such that

$$
\phi_{i}^{(2)}(x, y)=\phi_{S_{1}^{1}(i, x)}(y)
$$

for all $i, x, y$.
But $i$ is fixed.
Thus $\lambda x . S_{1}^{1}(i, x)$ is the " $h$ " we want.
0.2.7 Example. The set $A=\left\{x: \operatorname{ran}\left(\phi_{x}\right)=\emptyset\right\}$ is not semi-recursive.

2 Recall that "range" for $\lambda x . f(x)$, denoted by $\operatorname{ran}(f)$, is defined by

$$
\{x:(\exists y) f(y)=x\}
$$

We will try to show that

$$
\begin{equation*}
\bar{K} \leq A \tag{1}
\end{equation*}
$$

If we can do that much, then Corollary 0.2.4, part ii, will do the rest.

Well, define

$$
\psi(x, y)= \begin{cases}0 & \text { if } \phi_{x}(x) \downarrow  \tag{2}\\ \uparrow & \text { if } \phi_{x}(x) \uparrow\end{cases}
$$

Here is how to compute $\psi$ :

- Given $x, y$, ignore $y$.
- Call $\phi_{x}(x)$-that is, $U^{(P)}(x, x)$,
- If the call ever returns, then print "0" and halt everything.
- If it never returns, then this agrees with the specified in (2) behaviour for $\psi(x, y)$.

By CT, $\psi$ is in $\mathcal{P}$, so, by the S-m-n Theorem, there is a recursive $h$ such that

$$
\psi(x, y)=\phi_{h(x)}(y), \text { for all } x, y
$$

< You may NOT use S-m-n UNTIL after you have proved that your II " $\lambda x y \cdot \psi(x, y)$ " is in $\mathcal{P}$.

We can rewrite this as,

$$
\phi_{h(x)}(y)= \begin{cases}0 & \text { if } \phi_{x}(x) \downarrow  \tag{3}\\ \uparrow & \text { if } \phi_{x}(x) \uparrow\end{cases}
$$

or, rewriting (3) without arguments (as equality of functions, not equality of function calls)

$$
\phi_{h(x)}=\left\{\begin{array}{ll}
\lambda y .0 & \text { if } \phi_{x}(x) \downarrow \\
\emptyset & \text { if } \phi_{x}(x) \uparrow
\end{array} \quad \text { says } x \in \bar{K}\right.
$$

In $\left(3^{\prime}\right), \emptyset$ stands for $\lambda y . \uparrow$, the empty function.
Thus,

$$
h(x) \in A \text { iff } \operatorname{ran}\left(\phi_{h(x)}\right)=\emptyset \overbrace{\text { iff }}^{\text {bottom case in } 3^{\prime}} \phi_{x}(x) \uparrow
$$

The above says $x \in \bar{K} \equiv h(x) \in A$, hence $\bar{K} \leq^{h} A$, and thus $A \notin \mathcal{P}_{*}$ by Corollary 0.2.4, part ii.

$$
\begin{aligned}
& K \stackrel{\text { Def }}{=}\left\{x: \phi_{x}(x) \downarrow\right\} \\
& \bar{K} \stackrel{\text { Def }}{=}\left\{x: \phi_{x}(x) \uparrow\right\}
\end{aligned}
$$

Lecture \#15, Nov. 9 .
0.2.8 Example. The set $B=\left\{x: \phi_{x}\right.$ has finite domain $\}$ is not semi-recursive.

This is really easy (once we have done the previous example)! All we have to do is "talk about" our findings, above, differently!

We use the same $\psi$ as in the previous example, as well as the same $h$ as above, obtained by S-m-n.

Looking at ( $3^{\prime}$ ) above we see that the top case has infinite domain, while the bottom one has finite domain (indeed, empty). Thus,

$$
h(x) \in B \text { iff } \phi_{h(x)} \text { has finite domain } \overbrace{\text { iff }}^{\text {bottom case in } 3^{\prime}} \phi_{x}(x) \uparrow
$$

The above says $x \in \bar{K} \equiv h(x) \in B$, hence $\bar{K} \leq B$, hence $B \notin \mathcal{P}_{*}$ by Corollary 0.2.4, part ii.
0.2.9 Example. Let us mine twice more $\left(3^{\prime}\right)$ to obtain two more important undecidability results.

1. Show that $G=\left\{x: \phi_{x}\right.$ is a constant function $\}$ is undecidable.

We (re-) use $\left(3^{\prime}\right)$ of 0.2 .7 . Note that in $\left(3^{\prime}\right)$ the top case defines a constant function, but the bottom case defines a non-constant. Thus

$$
h(x) \in G \equiv \phi_{h(x)}=\lambda y .0 \equiv \text { top case in } 3^{\prime} \equiv x \in K
$$

Hence $K \leq G$, therefore $G \notin \mathcal{R}_{*}$.
2. Show that $I=\left\{x: \phi_{x} \in \mathcal{R}\right\}$ is undecidable. Again, we retell what we can read from ( $3^{\prime}$ ) in words that are relevant to the set $I$ :

$$
h(x) \in I^{\emptyset} \stackrel{\notin \mathcal{R}!}{=} \phi_{h(x)}=\lambda y .0 \equiv x \in K
$$

Thus $K \leq I$, therefore $I \notin \mathcal{R}_{*}$.
In Notes $\# 8$ we will sharpen the result 2 of the previous example.
<0.2.10 Example. (The Equivalence Problem, again) We now revisit the ${ }^{\text {requivalence problem and show it is worse than unsolvable (cf. Notes }}$ \#6):

The relation $\phi_{x}=\phi_{y}$ is not semi-decidable.

By 0.2 .2 , if the 2 -variable predicate above is in $\mathcal{P}_{*}$ then so is $\lambda x . \phi_{x}=\phi_{y}$, i.e., taking a constant for $y$.

Choose then for $y$ a $\phi$-index for the empty function.
In short,

If the equivalence problem is VERIFIABLE, then so is

$$
\begin{gathered}
\phi_{x}=\emptyset \\
E q=\left\{x: \phi_{x}=\emptyset\right\}=\left\{x: \operatorname{ran}\left(\phi_{x}\right)=\emptyset\right\}=A
\end{gathered}
$$

which says the same thing as

$$
\operatorname{ran}\left(\phi_{x}\right)=\emptyset
$$

We saw that this NOT SEMI-RECURSIVE in 0.2.7.
0.2.11 Example. The set $C=\left\{x: \operatorname{ran}\left(\phi_{x}\right)\right.$ is finite $\}$ is not semi-decidable.

Here we cannot reuse ( $3^{\prime}$ ) above, because both cases in the definition by cases - top and bottom- have functions of finite range.

We want one case to have a function of finite range, but the other to have infinite range.

Aha! This motivates us to choose a different " $\psi$ " (hence a different " $h$ "), and retrace the steps we took above.

OK, define

$$
g(x, y)= \begin{cases}y & \text { if } \phi_{x}(x) \downarrow  \tag{ii}\\ \uparrow & \text { if } \phi_{x}(x) \uparrow\end{cases}
$$

Here is an algorithm for $g$ :

- Given $x, y$.
- Call $\phi_{x}(x)$-i.e., call $U^{(P)}(x, x)$.
- If this ever returns, then print " $y$ " and halt everything.
- If it never returns from the call, this is the correct behaviour for $g(x, y)$ as well:
namely, we want $g(x, y) \uparrow$ if $x \in \bar{K}$.

By CT, $g$ is partial recursive, thus by S-m-n, for some recursive unary $k$ we have

$$
g(x, y)=\phi_{k(x)}(y), \text { for all } x, y
$$

Thus, by (ii)

$$
\phi_{k(x)}= \begin{cases}\lambda y \cdot y & \text { if } x \in K  \tag{iii}\\ \emptyset & \text { othw }\end{cases}
$$

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Hence,
bottom case in $i i i$
$k(x) \in C$ iff $\phi_{k(x)}$ has finite range $\quad \overbrace{\text { iff }} x \in \bar{K}$
That is, $\bar{K} \leq C$ and we are done.
0.2.12 Exercise. Show that $D=\left\{x: \operatorname{ran}\left(\phi_{x}\right)\right.$ is infinite $\}$ is undecidable.
0.2.13 Exercise. Show that $F=\left\{x: \operatorname{dom}\left(\phi_{x}\right)\right.$ is infinite $\}$ is undecidable.

## Enough "negativity"!

Here is an important "positive result" that helps to prove that certain relations $A R E$ semi-decidable:
0.2.14 Theorem. (Projection theorem; Part I) A relation $Q\left(\vec{x}_{n}\right)$ that is expressible as

$$
\begin{equation*}
Q\left(\vec{x}_{n}\right) \equiv(\exists y) S\left(y, \vec{x}_{n}\right) \tag{1}
\end{equation*}
$$

where $S\left(y, \vec{x}_{n}\right)$ is recursive is itself semi-recursive.

2 $Q$ is obtained by "projecting" $S$ along the $y$-co-ordinate, hence the name of the II theorem.

Proof. Let $S \in \mathcal{R}_{*}$, and $Q$ be connected as in (1) of the theorem.
Clearly,

$$
\begin{equation*}
(\exists y) S\left(y, \vec{x}_{n}\right) \equiv(\mu y) S\left(y, \vec{x}_{n}\right) \downarrow \tag{2}
\end{equation*}
$$

and we know that

$$
\begin{equation*}
(\mu y) S\left(y, \vec{x}_{n}\right) \stackrel{\text { Def }}{=}(\mu y) c_{S}\left(y, \vec{x}_{n}\right), \text { for all } \vec{x}_{n} \tag{3}
\end{equation*}
$$

Thus $\lambda \vec{x}_{n} .(\mu y) c_{S}\left(y, \vec{x}_{n}\right)$ is partial recursive by closure of $\mathcal{P}$ under UNbounded search. Thus so is $\lambda \vec{x}_{n}(\mu y) S\left(y, \vec{x}_{n}\right)$ by (3).

Now (1) and (2) give

$$
Q\left(\vec{x}_{n}\right) \equiv(\mu y) S\left(y, \vec{x}_{n}\right) \downarrow
$$

We are done by Def. 0.1.1.
0.2.15 Example. The set $A=\left\{(x, y, z): \phi_{x}(y)=z\right\}$ is semi-recursive.

Here is a verifier for the above predicate:
Given input $x, y, z$. Comment. Note that $\phi_{x}(y)=z$ is true iff two things happen: (1) $\phi_{x}(y) \downarrow$ and (2) the computed value is $z$.

1. Given $x, y, z$.
2. Call $\phi_{x}(y)=U^{(P)}(x, y)$.
3. If the call returns, then

- If the output of $U^{(P)}(x, y) \underline{\text { equals } z} z$, then halt everything (the "yes" output).
- If the output of $U^{(P)}(x, y)$ does NOT equal $z$, then get into an infinite loop (the "no" case).

4. If the $U^{(P)}(x, y) \uparrow$, then keep looping (say "no", by looping).

By CT the above informal verifier can be formalised as a URM $M$.

Lecture \#16, Nov. 11.

### 0.3. Projection Theorem II

This section provides a new powerful tool AND proves the converse of Projection Theorem Part I.

How can we trace a (computation of a) URM ?

Exactly in the same manner that we learnt to trace a commercially available program such as C.

### 0.3.1. Computation simulating functions

Given a URM $M_{X_{1}}^{\vec{X}_{m}}$ where -without loss of generalitywe selected $X_{1}$ as the output variable.

Let all its variables be

$$
\begin{equation*}
\overbrace{X_{1}, \ldots, X_{m}}^{\text {inputs }}, \overbrace{X_{m+1}, \ldots, X_{n}}^{\text {Non inputs }} \tag{1}
\end{equation*}
$$

For any input $\vec{a}_{m}, M$ 's computation can be tabulated in a (potentially infinite) table - p. 29 below- where for each $y \geq 0$, row $y$ contains the values of ALL the variables in (1) as well the value of the Instruction Pointer $I P$ - that points to the CURRENT instruction- at step $y$.

A "step" is the act of executing ONE instruction of $M$ and reaching the next CURRENT instruction.

At step zero, $(y=0)$ the computation ponders the first instruction of $M$ after the " $I / O$ Agent" initialised the input variables and has set all non-input variables to zero.

The entries on the zeroth row are self-evident.

Table 1: $M$ Simulation Table

| $y$ | $I P$ | $X_{1}$ | $X_{2}$ | $\ldots$ | $X_{m}$ | $X_{m+1}$ | $X_{m+2}$ | $\ldots$ | $X_{n}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | $a_{1}$ | $a_{2}$ | $\ldots$ | $a_{m}$ | 0 | 0 | $\ldots$ | 0 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\ldots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\ldots$ | $\vdots$ |
| $i$ | $L$ | $b_{1}$ | $b_{2}$ | $\ldots$ | $b_{m}$ | $b_{m+1}$ | $b_{m+2}$ | $\ldots$ | $b_{n}$ |
| $i+1$ | $L^{\prime}$ | $b_{1}^{\prime}$ | $b_{2}^{\prime}$ | $\ldots$ | $b_{m}^{\prime}$ | $b_{m+1}^{\prime}$ | $b_{m+2}^{\prime}$ | $\ldots$ | $b_{n}^{\prime}$ |
| $\vdots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\ldots$ | $\vdots$ | $\vdots$ | $\vdots$ | $\ldots$ | $\vdots$ |

The process for filling the table is algorithmic as follows:

Going from row $i$ to row $i+1$ (Cf. p. 10 in http:// www.cs.yorku.ca/~gt/papers/NOTES-2-URMs.pdf):

1. $L$ points to $X_{k} \leftarrow r$. Then $b_{k}^{\prime}=r$ while $b_{j}^{\prime}=b_{j}$ for $j \neq k$. Also $L^{\prime}=L+1$.
2. $L$ points to $X_{k} \leftarrow X_{k}+1$. Then $b_{k}^{\prime}=b_{k}+1$ while $b_{j}^{\prime}=b_{j}$ for $j \neq k$. Moreover $L^{\prime}=L+1$.
3. $L$ points to $X_{k} \leftarrow X_{k} \doteq 1$. Then $b_{k}^{\prime}=b_{k} \doteq 1$ while $b_{j}^{\prime}=b_{j}$ for $j \neq k$. Moreover $L^{\prime}=L+1$.
4. $L$ points to stop. Then $b_{j}^{\prime}=b_{j}$ for all $j \neq n$. Moreover $L^{\prime}=L$.
5. $L$ points to if $X_{k}=0$ goto $R$ else goto $Q$. Then $b_{j}^{\prime}=b_{j}$ for all $j \neq n$. Moreover $L^{\prime}=$ if $b_{k}=$ 0 then $R$ else $Q$.

Note that at "time" $y$ each $X_{j}$ and the IP function hold a value that depends on the initial $\vec{a}_{m}$-and on $y$.
-. Thus we associate with each $X_{j}$ and with the $I P$ a TOTAL function - $\lambda y \vec{a}_{m} \cdot f_{j}\left(y, \vec{a}_{m}\right)$ and $\lambda y \vec{a}_{m} . I P\left(y, \vec{a}_{m}\right)$.

Since I can produce each such function-value, for the $X_{j}$ and IP-for example, by hand- in the mechanical way indicated,
by $C T$, each such function $f_{j}$ and $I P$ is RECURSIVE.
In particular, $f_{1}=M_{X_{1}}^{\vec{X}_{m}} \in \mathcal{R}$ and $\lambda y \vec{a}_{m} . I P\left(y, \vec{a}_{m}\right) \in$ $\mathcal{R}$.

## (2) Important!

0.3.1 Theorem. With reference to the URM M that we "traced" above, we have that $M_{X_{1}}^{\vec{X}_{m}}$ halts for input $\vec{a}_{m}$ iff there is some step value $y$ where $M$ makes its stop instruction current.

That is

$$
(\exists y) I P\left(y, \vec{a}_{m}\right)=k \text {, where } k \text { is the label of stop }
$$

### 0.3.2 Theorem. (Projection Theorem Part II) $\underline{I F} Q\left(\vec{x}_{m}\right)$

 is semi-recursive, THEN there is a recursive $P\left(y, \vec{x}_{m}\right)$ such that$$
Q\left(\vec{x}_{m}\right) \equiv(\exists y) P\left(y, \vec{x}_{m}\right)
$$

Proof. By Definition 0.1.1,

$$
Q\left(\vec{a}_{m}\right) \equiv g\left(\vec{a}_{m}\right) \downarrow
$$

where $g \in \mathcal{P}$.
Let then $g=M_{X_{1}}^{\vec{X}_{m}}$.
By 0.3.1, $g\left(\vec{a}_{m}\right) \downarrow \equiv(\exists y) I P\left(y, \vec{a}_{m}\right)=q$, where $q$ labels stop in $M$

But $\operatorname{IP}\left(y, \vec{a}_{m}\right)=q$ is recursive so we may take it as the " $P\left(y, \vec{x}_{m}\right)$ " we want.


[^0]:    ${ }^{\dagger}$ This is not a standard symbol in the literature. Most of the time the set of all semirecursive relations has no symbolic name! We are using this symbol in analogy to $\mathcal{R}_{*}$ - the latter being fairly "standard".

[^1]:    $\dagger$ "Formal" refers to syntactic proofs based on axioms. Our "mathematical" proofs are mostly semantic, depend on meaning, not just syntax. That is how it is in the majority of MATH publications.

[^2]:    ${ }^{\dagger}$ We can do that, i.e., $M$ and $M^{\prime}$ exist, since both $Q$ and $\bar{Q}$ are semi-recursive.

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[^3]:    ${ }^{\dagger}$ The subscript $m$ stands for "many one", and refers to $f$. We do not require it to be $1-1$, that is; many (inputs) to one (output) will be fine.

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