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## **flow of control, negation, cut, 2<sup>nd</sup> order programming, tail recursion**

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## **simplicity hides complexity**

- ◆ simple *and/or* composition of goals  
hides complex control patterns
- ◆ not easily represented by traditional  
flowcharts
- ◆ may not be a bad thing
- ◆ want important aspects of logic and  
algorithm to be clearly represented and  
irrelevant details to be left out

## procedural and declarative semantics

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- ◆ Prolog programs have both a declarative/logical semantics and a procedural semantics
- ◆ declarative semantics: query holds if it is a logical consequence of the program
- ◆ procedural semantics: query succeeds if a matching fact or rule succeeds, etc.
  - defines order in which goals are attempted, what happens when they fail, etc.

## and & or

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- ◆ Prolog's *and* (,) & *or* (; and alternative facts and rules that match a goal) are not purely logical operations
- ◆ often important to consider the order in which goals are attempted
  - left to right for “,” and “;”
  - top to bottom for alternative facts/rules

## and is not always commutative, e.g.

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- ◆ `sublistV1(S, L):- append(_, L1, L),  
append(S, _, L1).`  
i.e. S is a sublist of L if L1 is any suffix of L  
and S is a prefix of L1
- ◆ `sublistV2(S, L):- append(S, _, L1),  
append(_, L1, L).`  
i.e. S is a sublist of L if S is a prefix of some  
list L1 and L1 is any suffix of L

## and is not always commutative, e.g.

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- ◆ `?- sublistV1([c,b], [a, b, c, d]).`  
false.
- ◆ `sublistV2([c,b], [a, b, c, d]).`  
ERROR: Out of global stack  
why?

## uses of or (;)

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- ◆ or “;” can be used to regroup several rules with the same head
- ◆ e.g.  
parent(X,Y):- mother(X,Y); father(X,Y).
- ◆ can improve efficiency by avoiding redoing unification
- ◆ “;” has lower precedence than “,”

## Prolog negation

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- ◆ Prolog uses “\+”, “not provable” or negation as failure
- ◆ different from logical negation
- ◆ ?- \+ goal. succeeds if ?- goal. fails
- ◆ interpreting \+ as negation amounts to making the closed-world assumption

## example

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- ◆ Given program:  
human(ulysses). human(penelope).  
mortal(X):- human(X).
- ◆ ?- \+ human(jason).  
Yes
- ◆ In logic, the axioms corresponding to the program don't entail  $\neg$ Human(Jason).

## semantics of free variables in \+ is “funny”

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- ◆ normally, variables in a query are existentially quantified from outside  
e.g. ?- p(X), q(X). represents “there exists x such that P(x) & Q(x)”
- ◆ but ?- \+ (p(X), q(X)). represents “it is not the case that there exists x such that P(x) & Q(x)”

## To avoid this problem

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- ◆ `\+` works correctly if its argument is instantiated
- ◆ so for example in  
`intersect([X|L], Y, I):-  
 \+ member(X,Y), intersect(L,Y,I).`  
X and Y should be instantiated

## example

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- ◆ Given program:  
`animal(cat). vegetable(turnip).`
- ◆ `?- \+ animal(X), vegetable(X).`  
No why?
- ◆ `?- vegetable(X), \+ animal(X).`  
X = turnip why?

## guarding the “else”

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- ◆ can't rely on implicit negation in predicates that can be redone
- ◆ in predicates with alternative rules, each rule should be logically valid (if backtracking can occur)
- ◆ safest thing is repeating the condition with negation

## e.g. intersect

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- ◆ `intersect([], _, []).`  
`intersect([X|L], Y, [X|I]):-`  
    `member(X,Y), intersect(L, Y, I).`  
`intersect([X|L], Y, I):-`  
    `\+ member(X,Y), intersect(L, Y, I).`  
is OK.

## e.g. intersect

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- ◆ `intersect([], _, [])`.  
`intersect([X|L], Y, [X|I])`:-  
    `member(X,Y), intersect(L, Y, I)`.  
`intersect([_|L], Y, I)`:-`intersect(L, Y, I)`.  
is buggy.  
?- `intersect([a], [b, a], [])`. succeeds.  
why?

## inhibiting backtracking

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- ◆ the **cut** operator “!” is used to control backtracking
- ◆ If the goal  $G$  unifies with  $H$  in program  
 $H$  :- ....  
 $H$  :-  $G_1, \dots, G_i, !, G_j, \dots, G_k$ .  
 $H$  :- ... .  
and gets past the !, and  $G_j, \dots, G_k$  fails,  
then the parent goal  $G$  immediately fails.  $G_1, \dots, G_i$  won't be retried and the subsequent matching rules won't be attempted.



## Using ! e.g. intersect

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- ◆ cut can be used to improve efficiency, e.g.

```
intersect([], _, []).
```

```
intersect([X|L], Y, [X|I]):-
```

```
    member(X,Y), intersect(L, Y, I).
```

```
intersect(_([X|L], Y, I):-
```

```
    \+ member(X,Y), intersect(L, Y, I).
```

```
retests member(X,Y) twice
```

## e.g. intersect

---

- ◆ using cut, we can avoid this

```
intersect([], _, []).
```

```
intersect([X|L], Y, [X|I]):-
```

```
    member(X,Y), !, intersect(L, Y, I).
```

```
intersect(_[_|L], Y, I):-intersect(L, Y, I).
```

- ◆ means that the last 2 rules are a conditional branch

## cut can be used to define useful features

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- ◆ If goal  $G$  should be false when  $C_1, \dots, C_n$  holds, can write  
 $G :- C_1, \dots, C_n, !, \text{fail}.$
- ◆ not provable can be defined using cut  
 $\backslash+ G :- G, !, \text{fail}.$   
 $\backslash+ G.$

## control predicates

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- ◆ true (really success), e.g.  
 $G :- \text{Cond1}; \text{Cond2}; \text{true}.$
- ◆ fail (opposite of true)
- ◆ repeat (always succeeds, infinite number of choice points)  
 $\text{loopUntilNoMore} :- \text{repeat}, \text{doStuff}, \text{checkNoMore}.$   
but tail recursion is cleaner, e.g.  
 $\text{loop} :- \text{doStuff}, (\text{checkNoMore}; \text{loop}).$

## forcing all solutions

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```
test :- member(X, [1, 2, 3]),
      nl, print(X),
      fail.
% no alternative sols for print(X) and nl
% but member has alternative sols
?- test.
1
2
3
No
```

## 2nd order features: bagof & setof

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- ◆ ?- bagof(T,G,L). instantiates L to the list of all instances of T such for which G succeeds, e.g.  
?- bagof(X,(member(X,[2,5,7,3,5],X >= 3),L).  
X = \_G172  
L = [5, 7, 3, 5]  
Yes

## 2nd order features: bagof & setof

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- ◆ setof is similar to bagof except that it removes duplicates from the list, e.g.

```
?- setof(X,(member(X,[2,5,7,3,5],X >= 3),L).
```

```
X = _G172
```

```
L = [3, 5, 7]
```

```
Yes
```

- ◆ can collect values of several variables, e.g.

```
?- bagof(pair(X,Y),(member(X,[a,b]),member(Y,[c,d])),  
L).
```

```
X = _G157
```

```
Y = _G158
```

```
L = [pair(a, c), pair(a, d), pair(b, c), pair(b, d)]
```

```
Yes
```

## 2nd order features

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- ◆ setof and bagof are called 2nd order features because they are queries about the value of a set or relation
- ◆ in logic, this is quantification over a set or relation
- ◆ not allowed in first order logic, but can be done in 2nd order logic

## entering and leaving

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- ◆ Trace steps are labelled:
  - Call: enter the procedure
  - Exit: exit successfully with bindings for variable
  - Fail: exit unsuccessfully
  - Redo: look for an alternative solution
- ◆ 4 ports model

## Tail recursion optimization in Prolog

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- ◆ suppose have goal  $A$  and rule  $A' :- B_1, B_2, \dots, B_{n-1}, B_n$ . and  $A$  unifies with  $A'$  and  $B_2, \dots, B_{n-1}$  succeed
- ◆ if there are no alternatives left for  $A$  and for  $B_2, \dots, B_{n-1}$  then can simply replace  $A$  by  $B_n$  on execution stack
- ◆ in such cases the predicate  $A$  is tail recursive
- ◆ nothing left to do in  $A$  when  $B_n$  succeeds or fails/backtracks, so we can replace call stack frame for  $A$  by  $B_n$ 's; recursion can be as space efficient as iteration

## e.g. factorial

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- ◆ simple implementation:  
fact(0,1).  
fact(N,F):- N > 0, N1 is N - 1,  
fact(N1,F1), F is N \* F1.
- ◆ close to mathematical definition
- ◆ cut not tail-recursive
- ◆ requires  $O(N)$  in stack space

## e.g. factorial

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- ◆ better implementation:  
fact(N,F):- fact1(N,1,F).  
fact1(0,F,F).  
fact1(N,T,F):- N > 0, T1 is T \* N,  
N1 is N - 1, fact1(N1,T1,F).
- ◆ uses accumulator
- ◆ is tail-recursive and each call can replace the previous call
- ◆ can prove correctness

## e.g. append

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- ◆ `append([],L,L).`  
`append([X|R],L,[X|RL]):-`  
`append(R,L,RL).`
- ◆ `append` is tail recursive if first argument is fully instantiated
- ◆ Prolog must detect the fact that there are no alternatives left; may depend on clause indexing mechanism used
- ◆ use of unification means more relations are tail recursive in Prolog than in other languages

## split

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```
split([],[],[]).  
split([X],[X],[]).  
split([X1,X2|R],[X1|R1],[X2|R2]):-  
split(R,R1,R2).
```

Tail recursive!

## merge

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```
merge([],L,L).
merge(L,[],L).
merge([X1|R1],[X2|R2],[X1|R]):-
    order(X1,X2), merge(R1,[X2|R2],R).
merge([X1|R1],[X2|R2],[X2|R]):-
    not order(X1,X2), merge([X1|R1],R2,R).
```

Tail recursive, but lack of alternatives may be hard to detect (can use cut to simplify).

## merge sort

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```
mergesort([],[]).
mergesort([X],[X]).
mergesort(L,S):- split(L,L1,L2),
                 mergesort(L1,S1),
                 mergesort(L2,S2),
                 merge(S1,S2,S).
```



## **for more on tail recursion**

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- ◆ see Sterling & Shapiro The Art of Prolog  
Sec. 11.2