

Query Processing: Query Plan Operators

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Query Processing

Q \rightarrow Query Plan

Query Processing

Q \rightarrow Query Plan

Focus: Relational System

- Others?

Example

Select B,D

From R,S

Where $R.A = \text{"c"} \wedge S.E = 2 \wedge R.C = S.C$

R	A	B	C
a	1	10	
b	1	20	
c	2	10	
d	2	35	
e	3	45	

S	C	D	E
	10	x	2
	20	y	2
	30	z	2
	40	x	1
	50	y	3

R	A	B	C	S	C	D	E
a	1	10	10	10	x	2	
b	1	20	20	20	y	2	
c	2	10	30	30	z	2	
d	2	35	40	40	x	1	
e	3	45	50	50	y	3	

Answer

B	D
2	x

- How do we execute query?



One idea

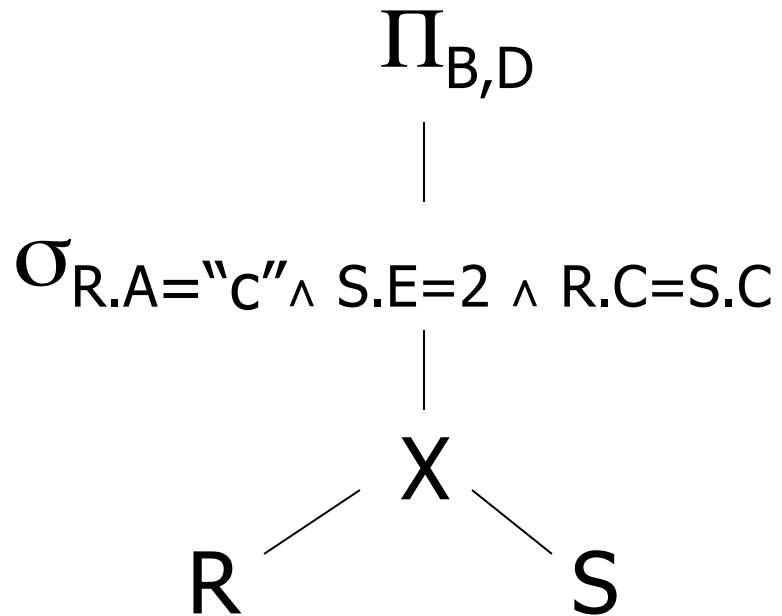
- Do Cartesian product
- Select tuples
- Do projection

RXS	R.A	R.B	R.C	S.C	S.D	S.E
a	1	10	10	x	2	
a	1	10	20	y	2	
.						
.						
C	2	10	10	x	2	
.						
.						

RXS	R.A	R.B	R.C	S.C	S.D	S.E
	a	1	10	10	x	2
	a	1	10	20	y	2
	.					
	.					
Bingo! →	C	2	10	10	x	2
Got one...	.					
	.					

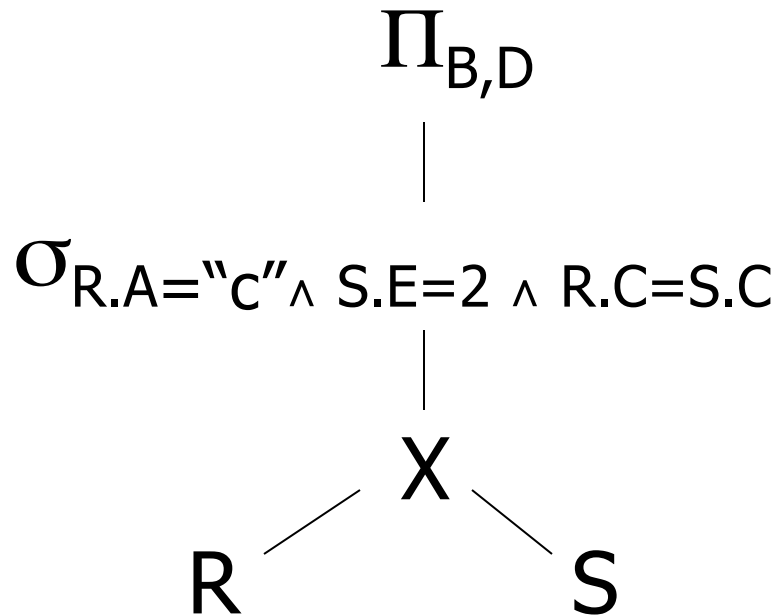
Relational Algebra - can be used to describe plans...

Ex: Plan I



Relational Algebra - can be used to describe plans...

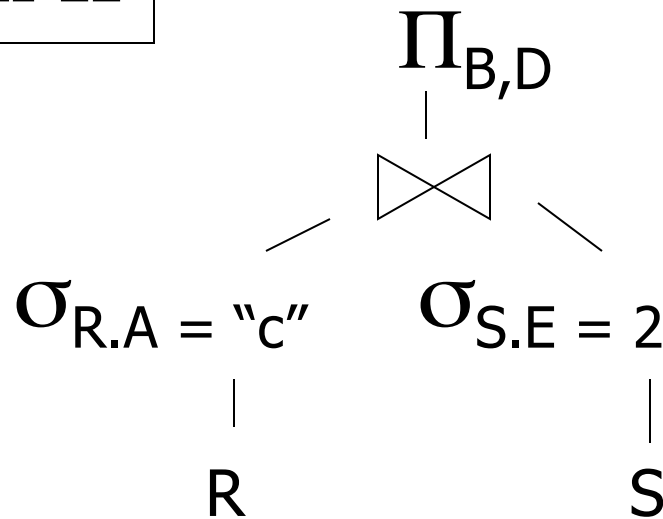
Ex: Plan I

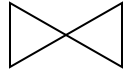


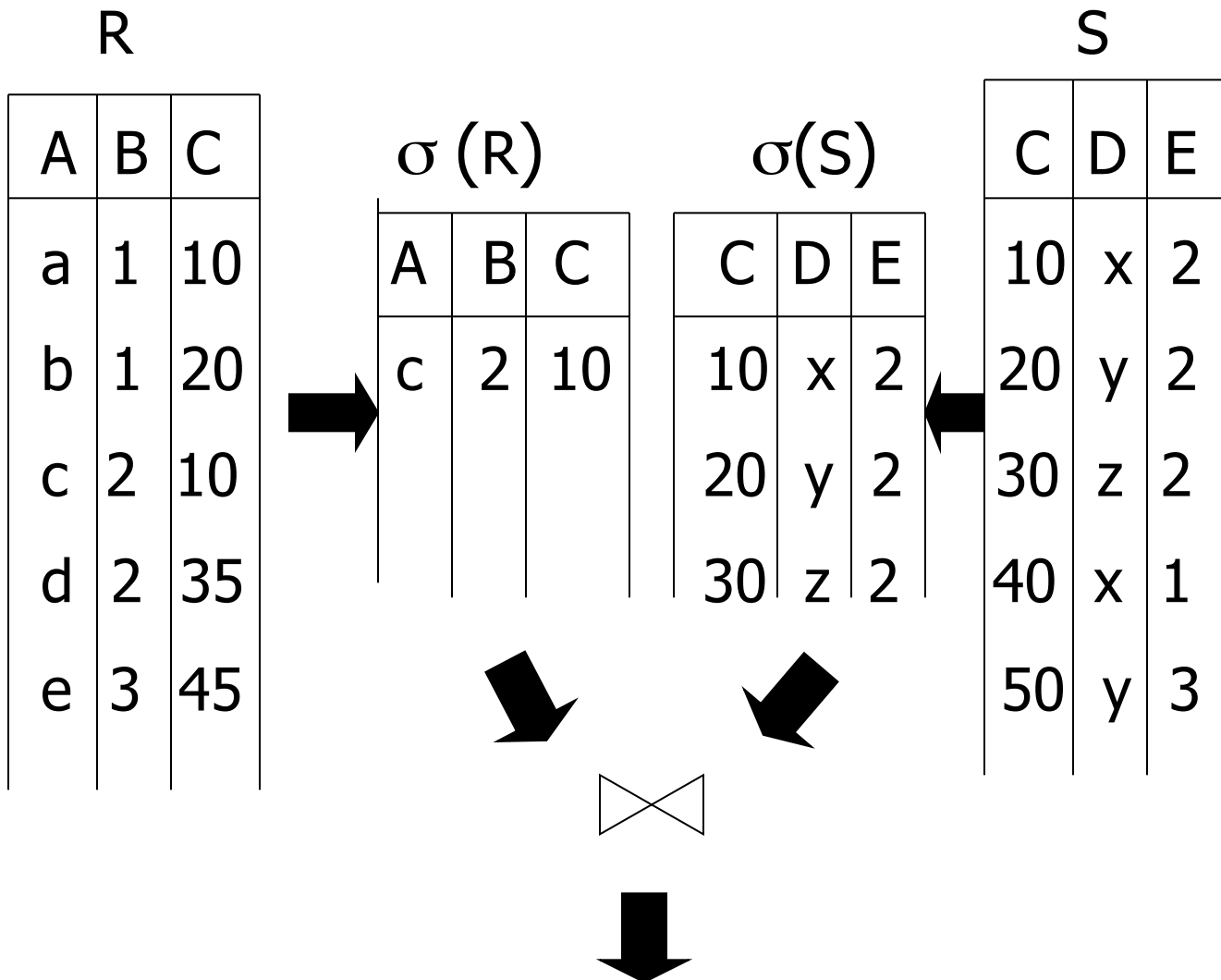
OR: $\Pi_{B,D} [\sigma_{R.A="c" \wedge S.E=2 \wedge R.C = S.C} (RXS)]$

Another idea:

Plan II




natural join



Plan III

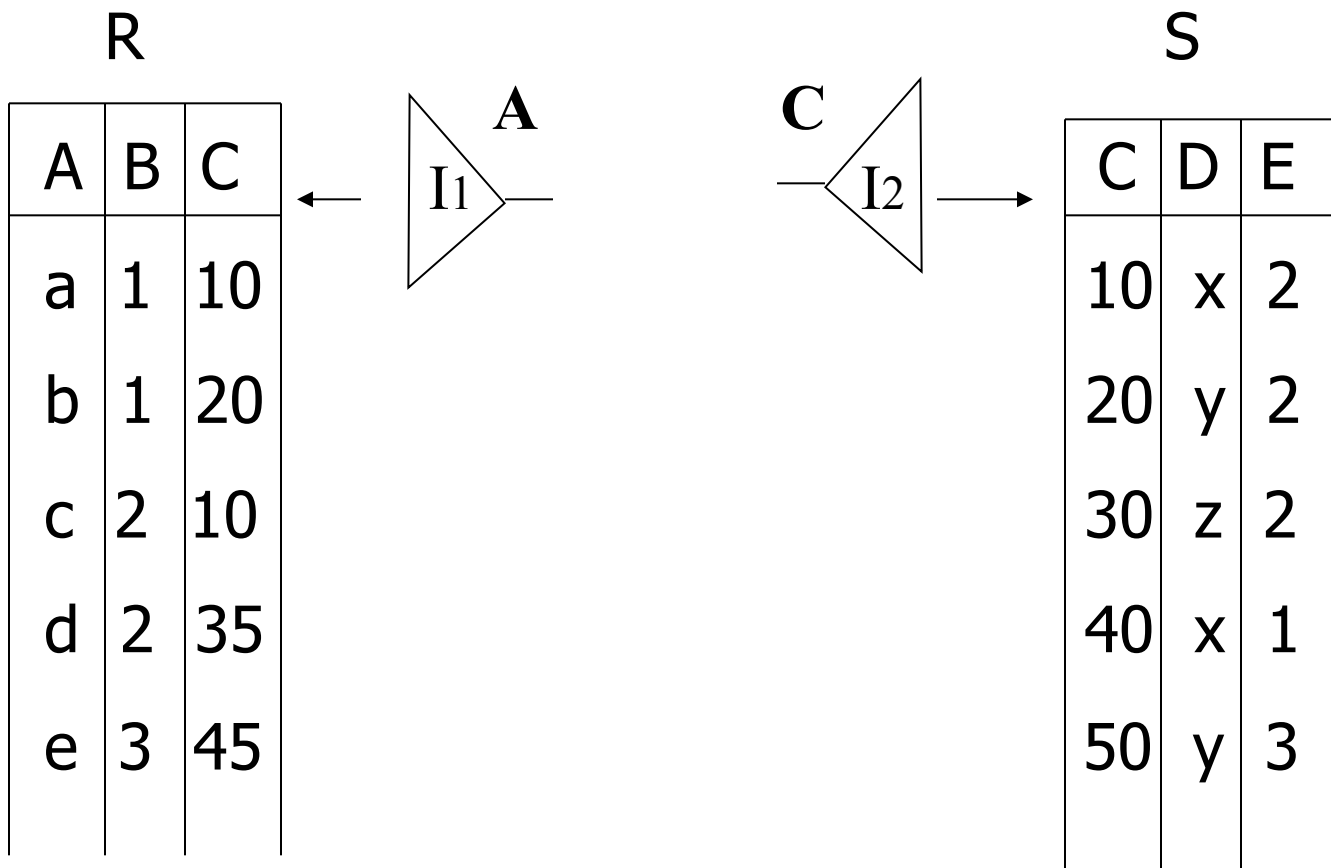
Use R.A and S.C Indexes

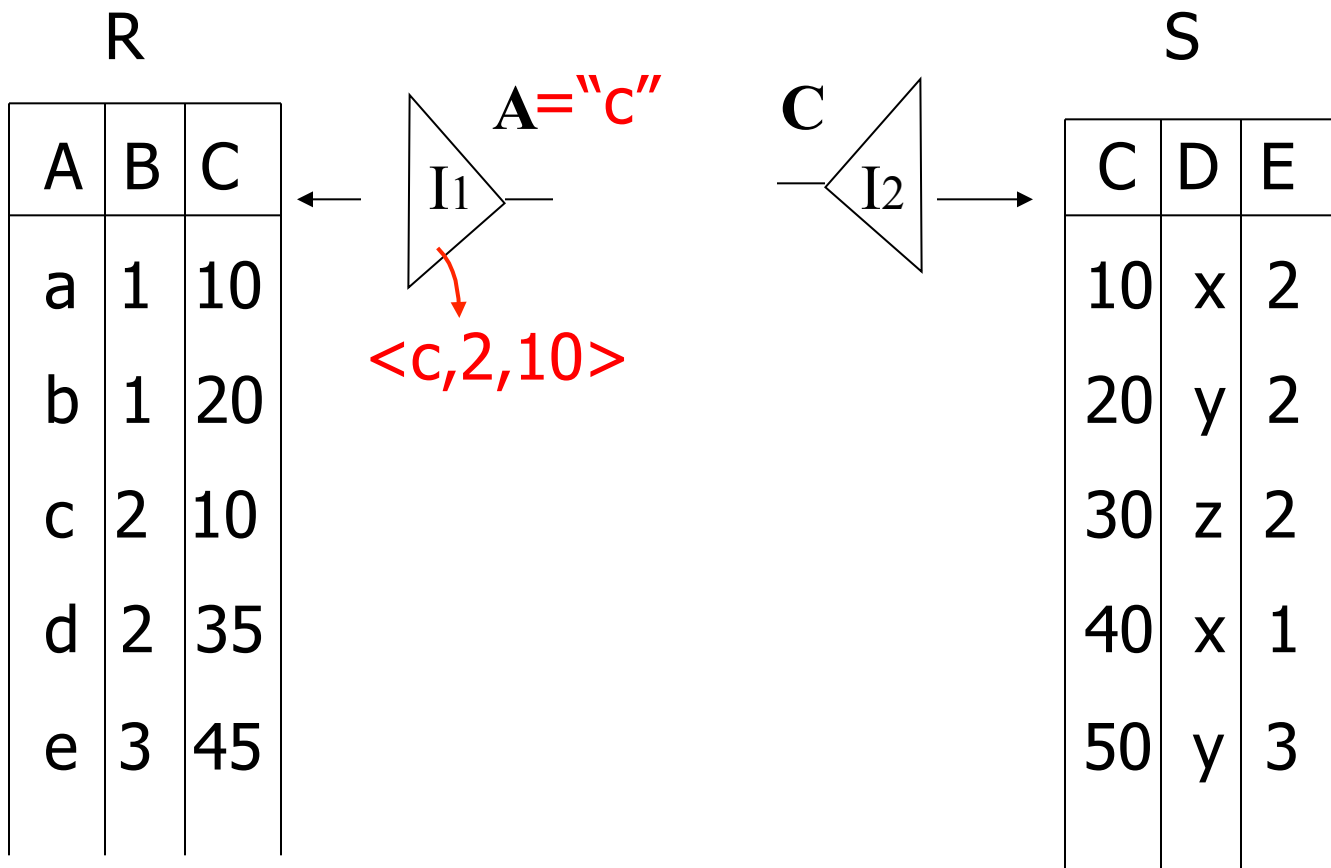
- (1) Use R.A index to select R tuples with R.A = "c"
- (2) For each R.C value found, use S.C index to find matching tuples

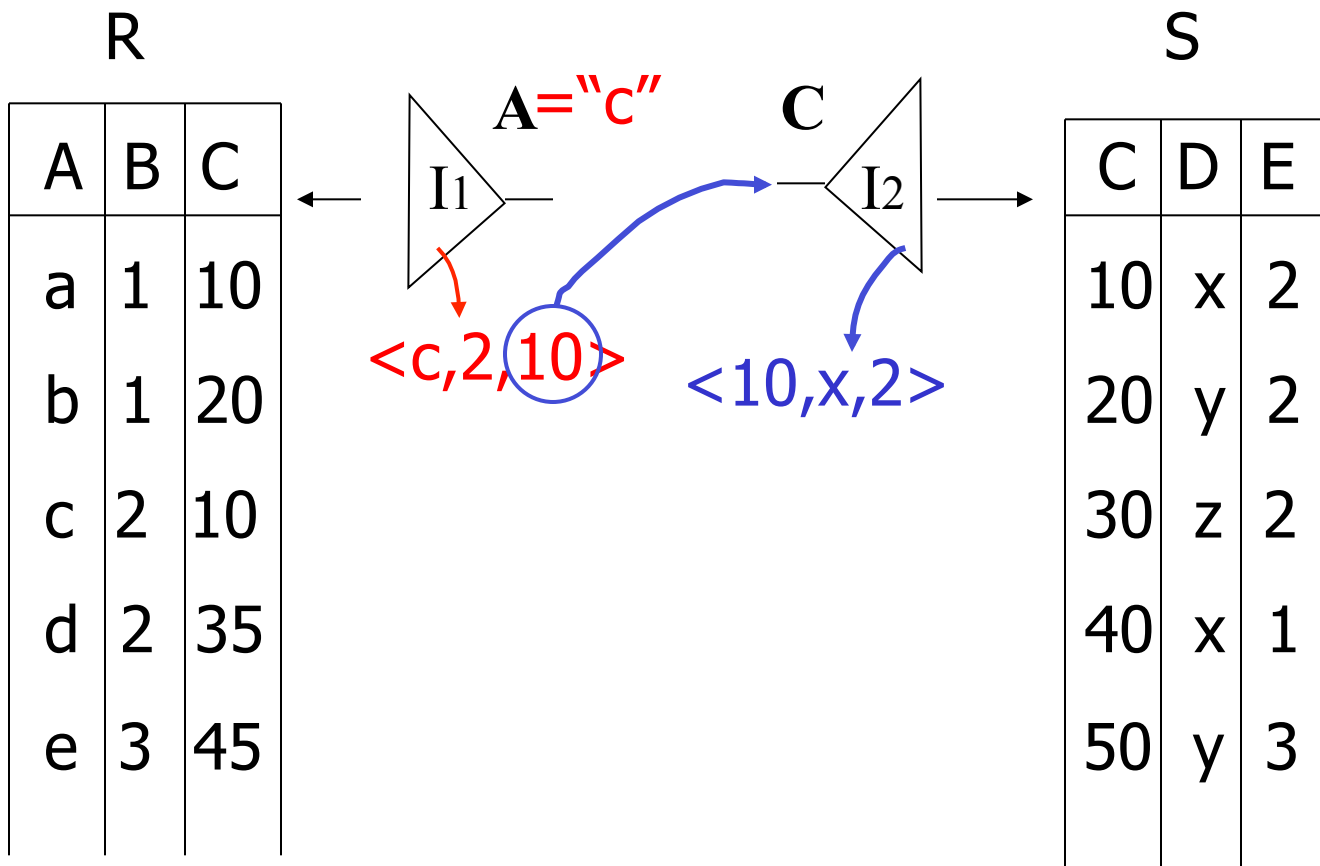
Plan III

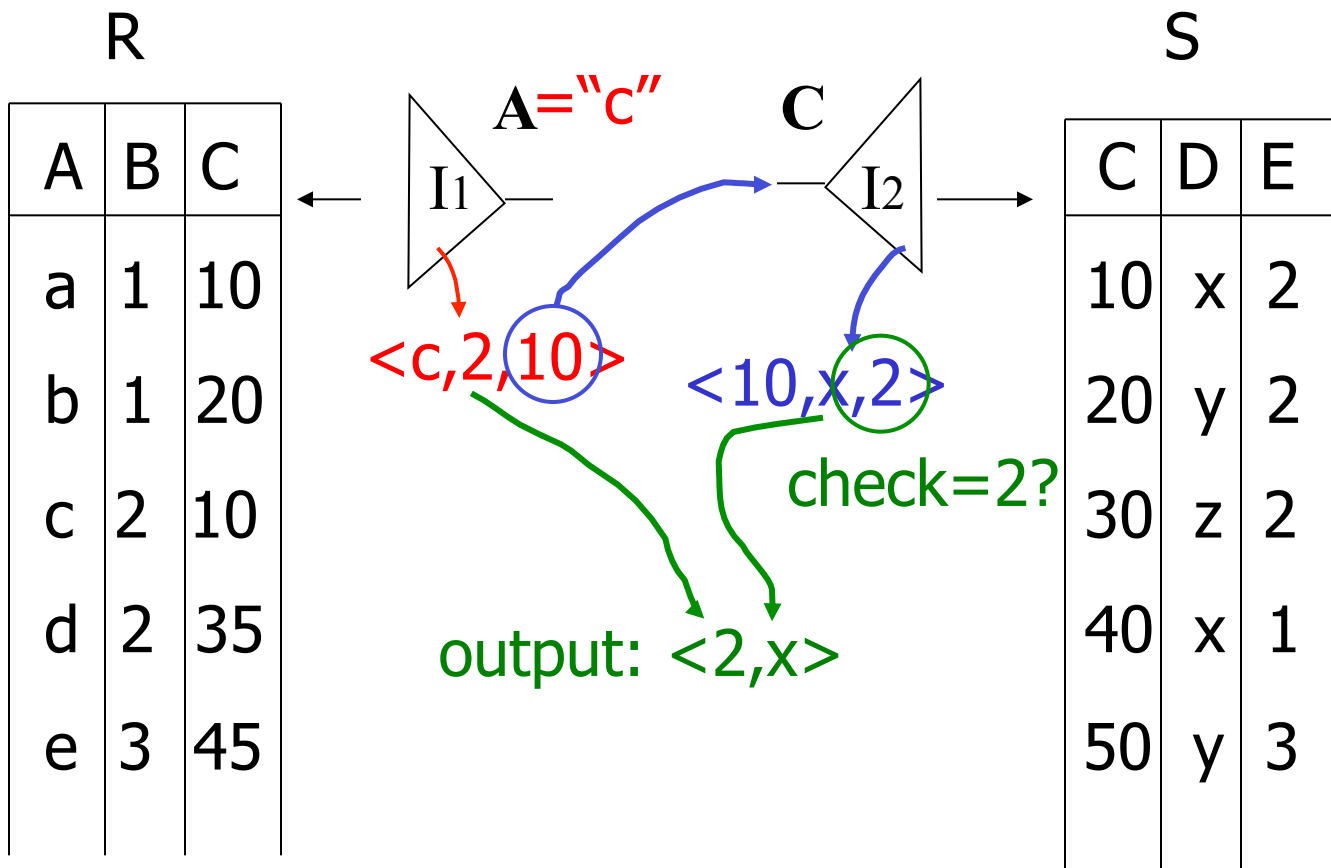
Use R.A and S.C Indexes

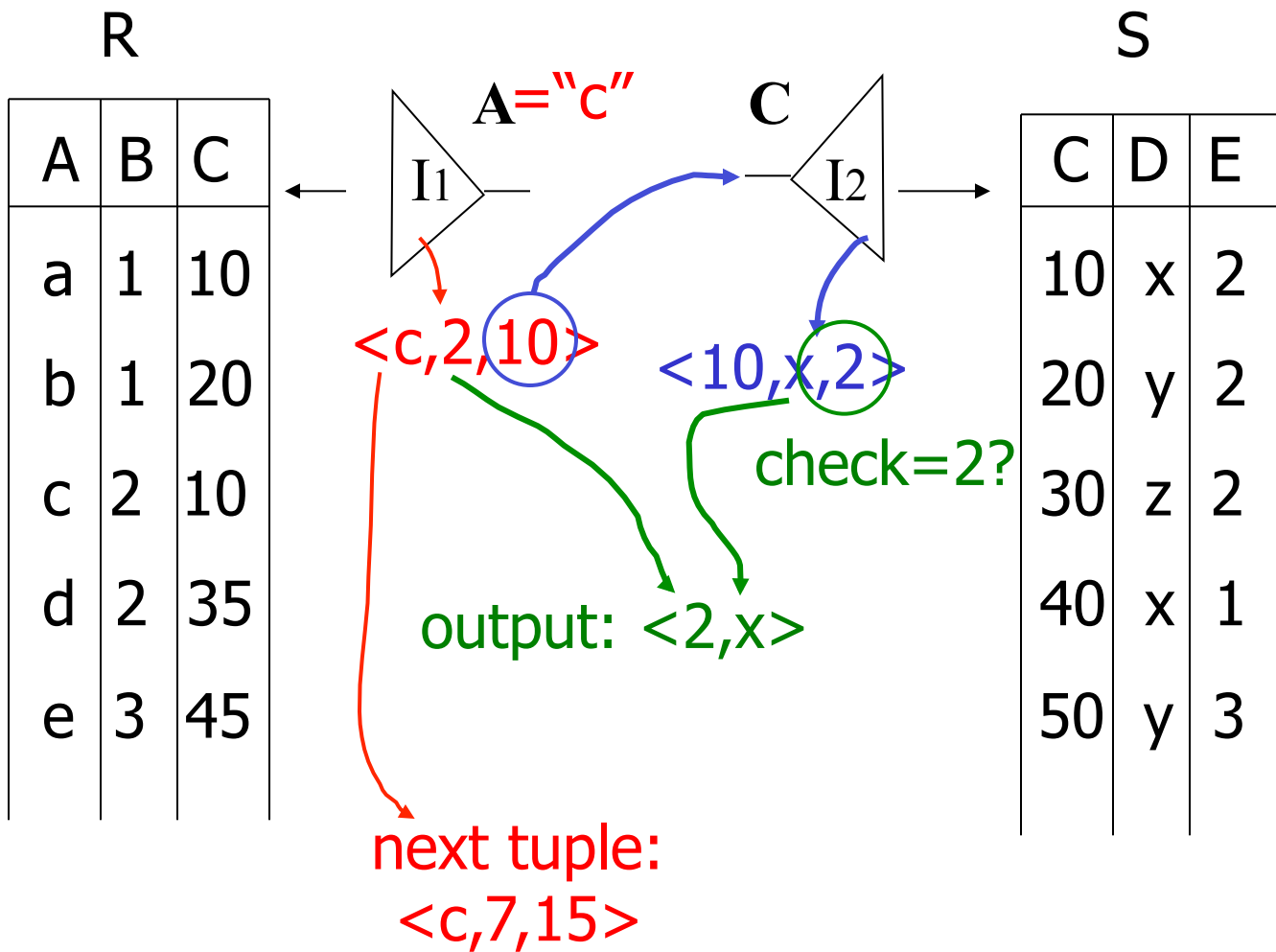
- (1) Use R.A index to select R tuples with R.A = "c"
- (2) For each R.C value found, use S.C index to find matching tuples
- (3) Eliminate S tuples S.E \neq 2
- (4) Join matching R,S tuples, project B,D attributes and place in result



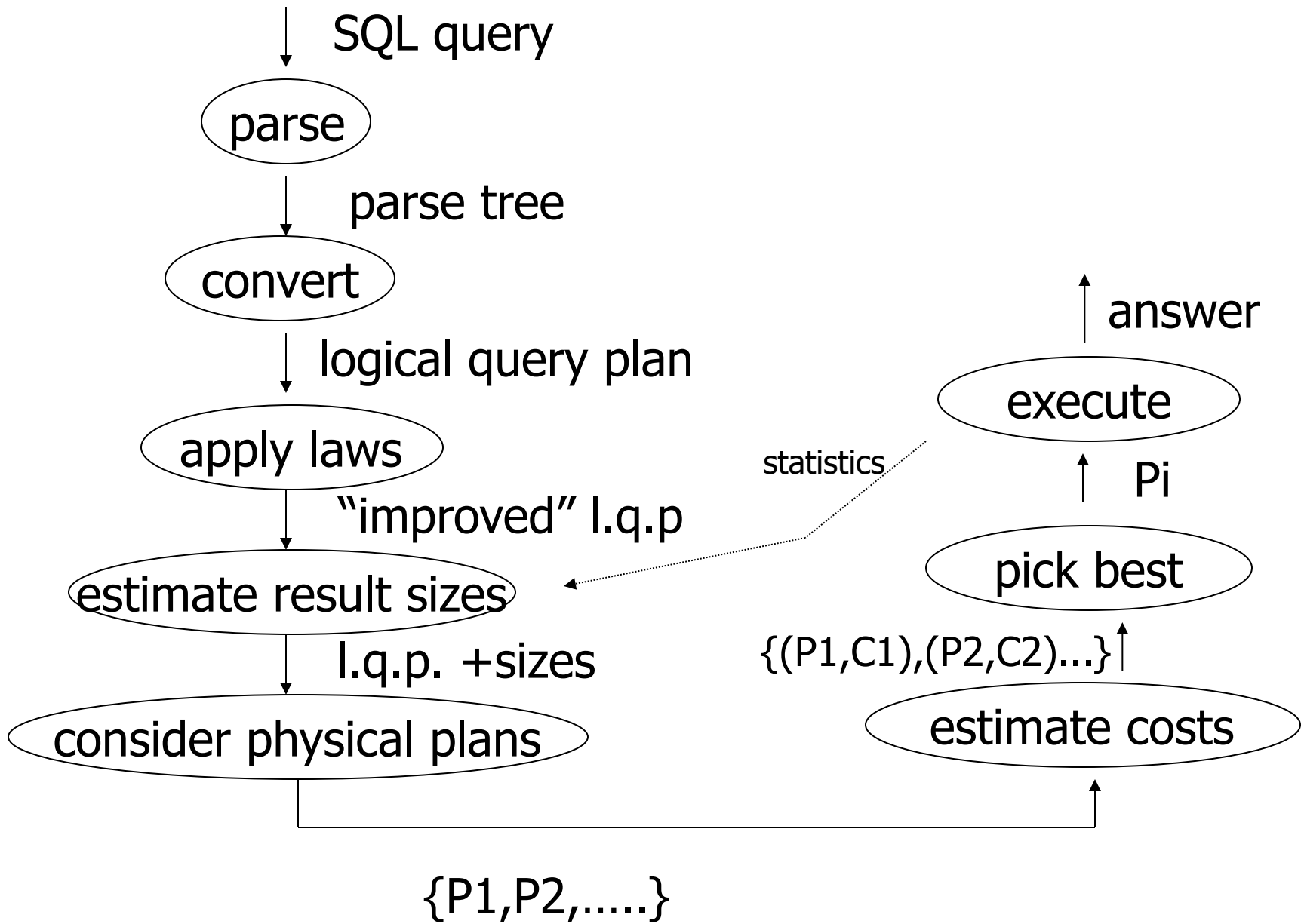








Overview of Query Optimization

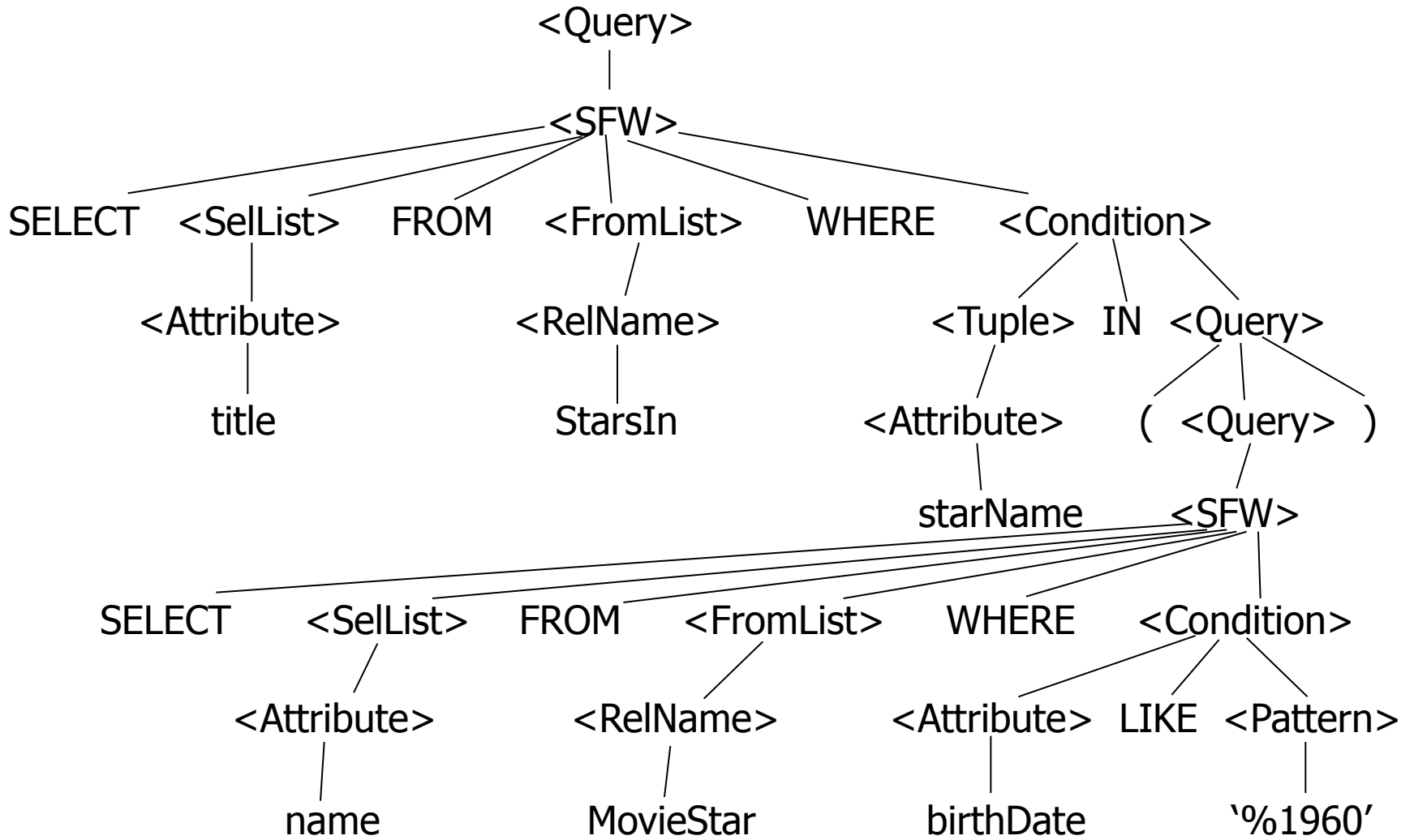


Example: SQL query

```
SELECT title
FROM StarsIn
WHERE starName IN (
    SELECT name
    FROM MovieStar
    WHERE birthdate LIKE '%1960'
);
```

(Find the movies with stars born in 1960)

Example: Parse Tree



Example: Generating Relational Algebra

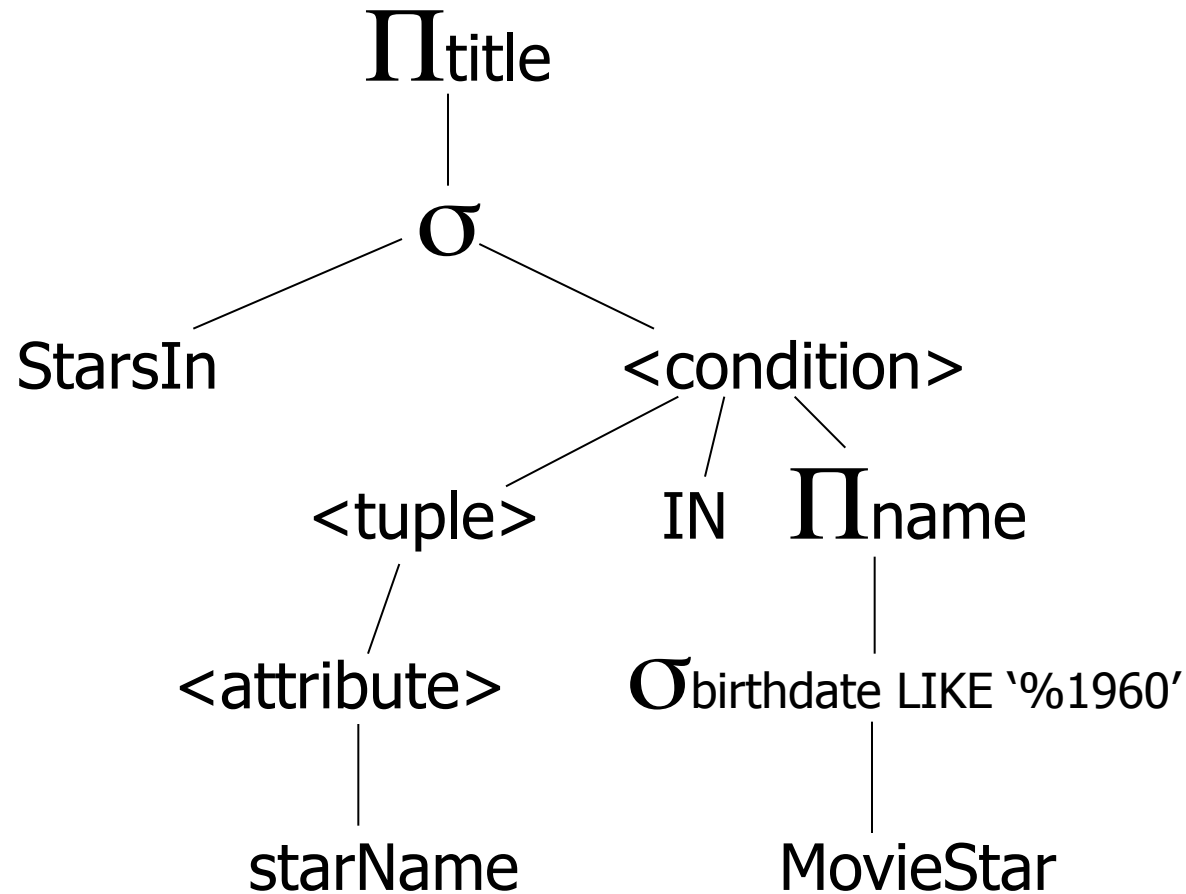


Fig. 7.15: An expression using a two-argument σ , midway between a parse tree and relational algebra

Example: Logical Query Plan

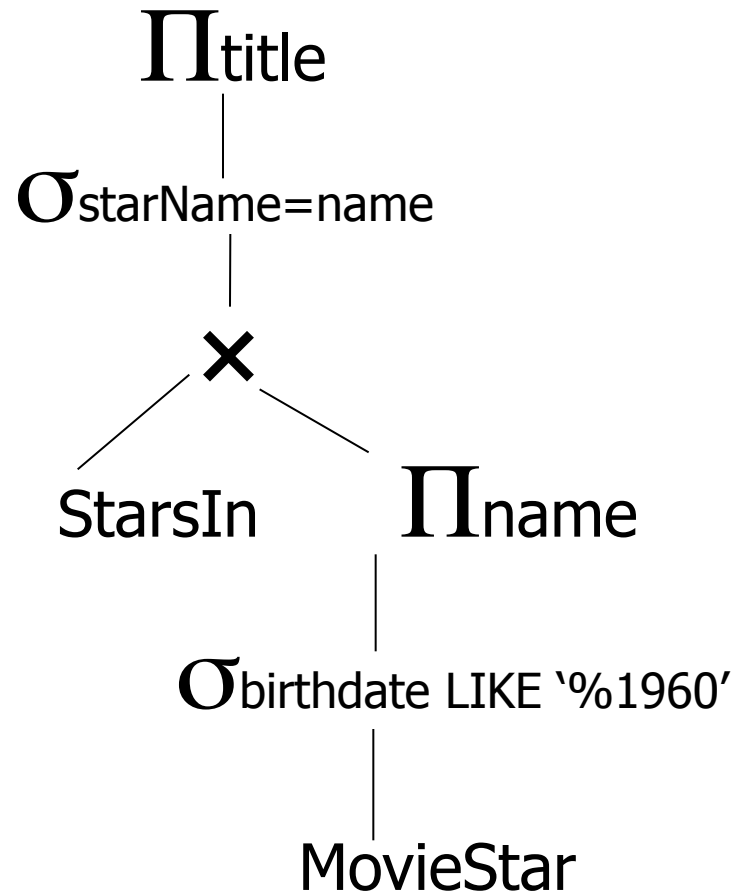
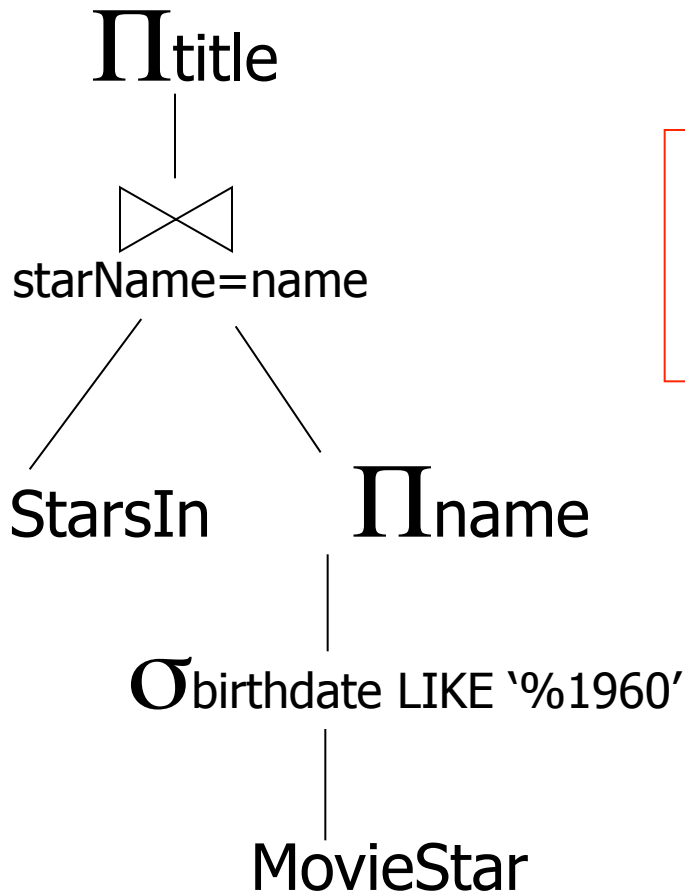


Fig. 7.18: Applying the rule for IN conditions

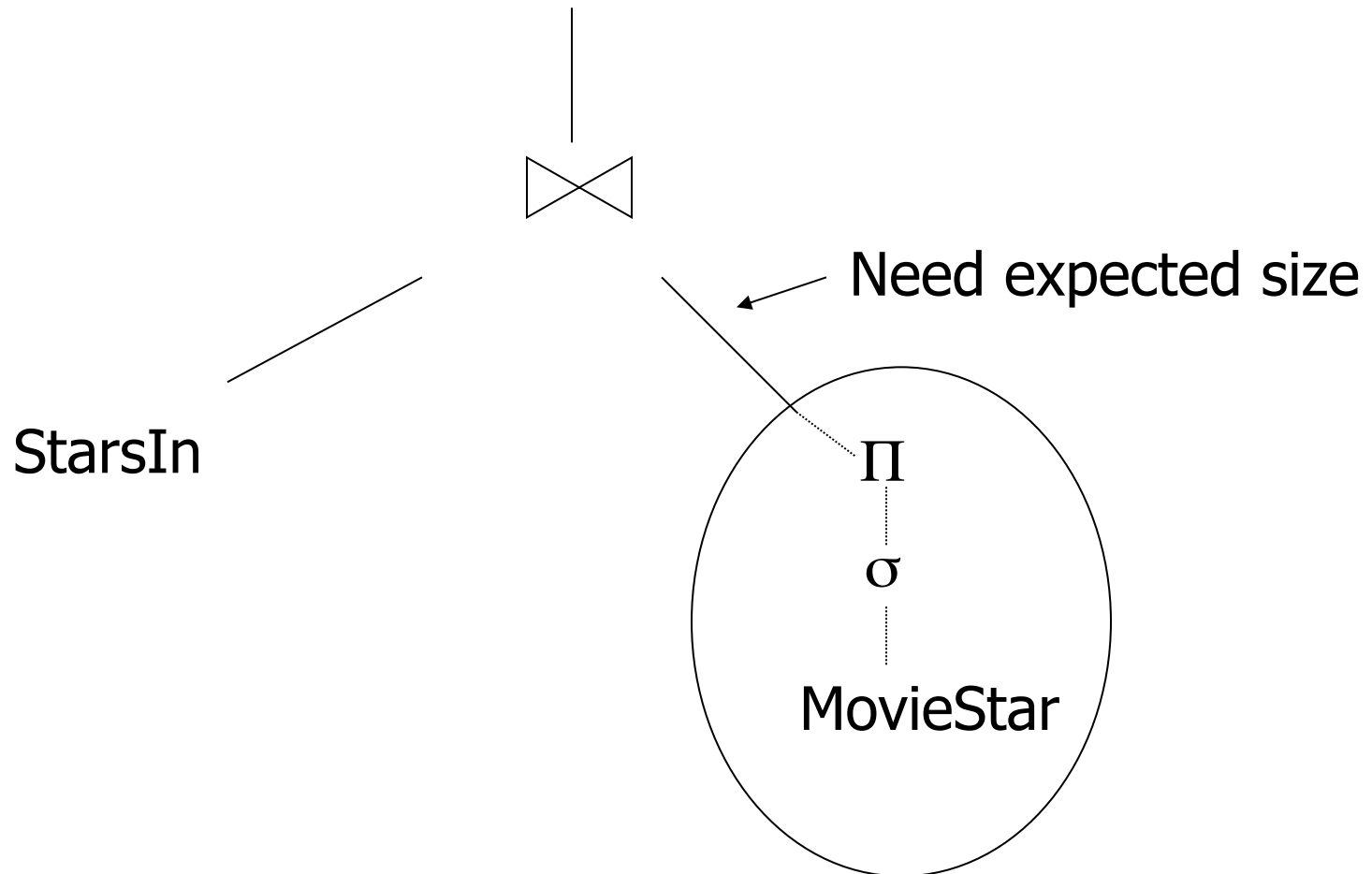
Example: Improved Logical Query Plan



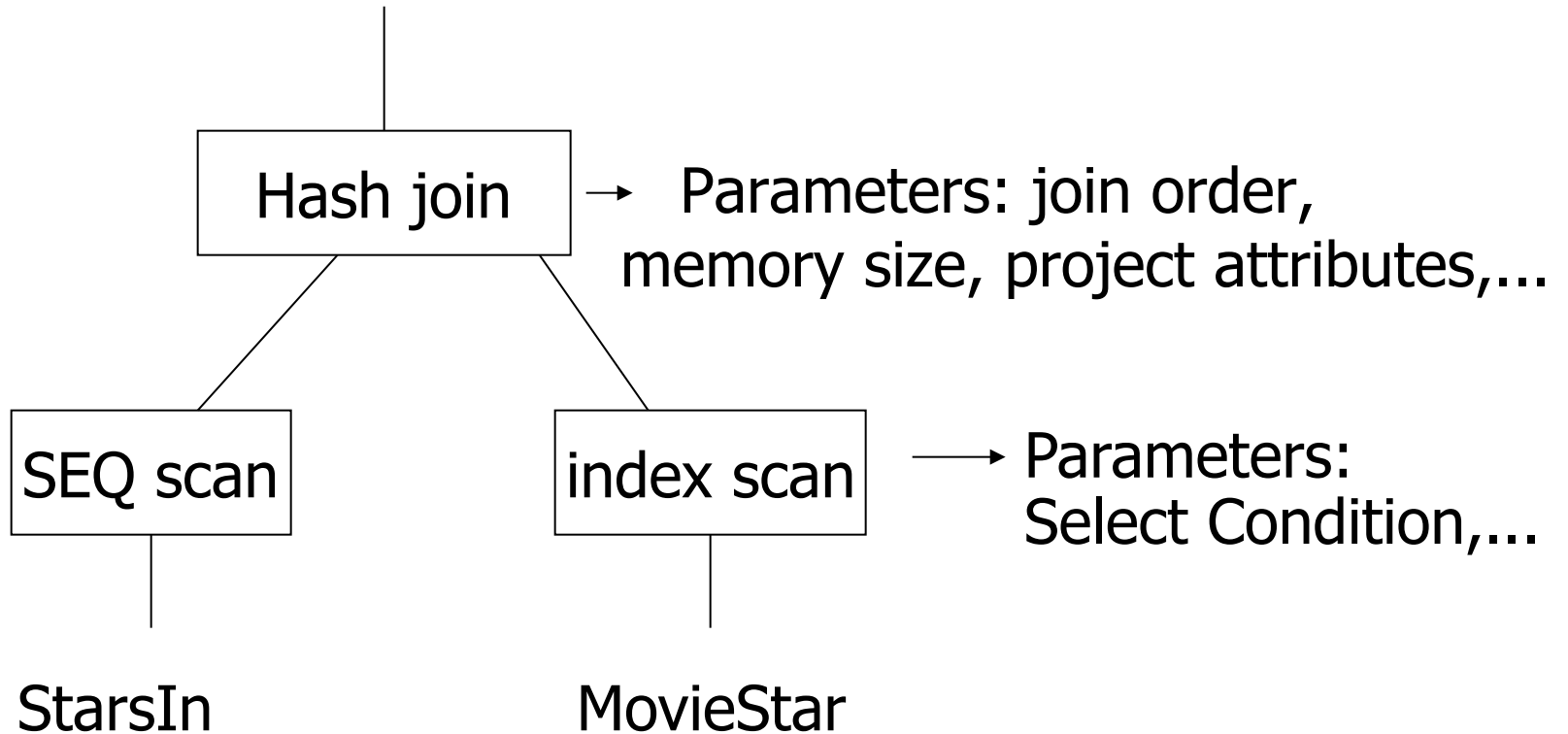
Question:
Push project to
StarsIn?

Fig. 7.20: An improvement on fig. 7.18.

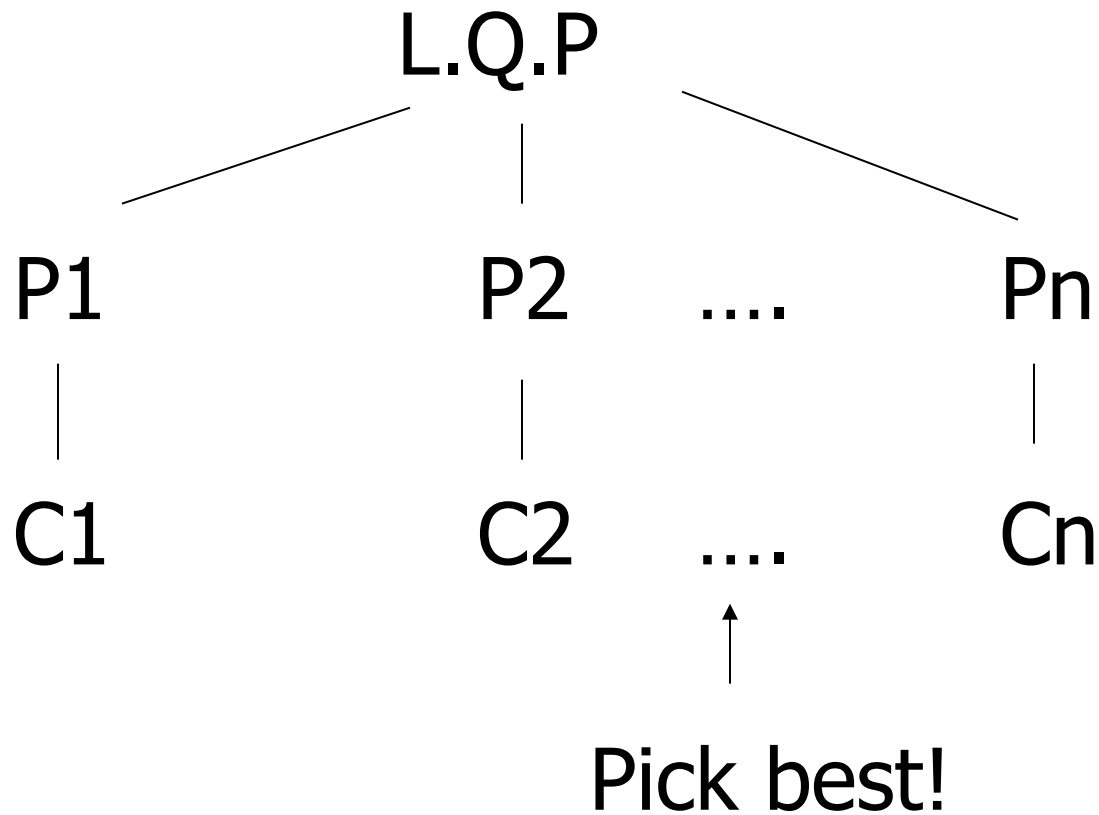
Example: Estimate Result Sizes



Example: One Physical Plan



Example: Estimate costs



Textbook outline

Chapter 15

5 Algebra for queries

[bags vs sets]

[Ch 5] - Select, project, join,

[project list

$a, a+b \rightarrow x, \dots$]

- Duplicate elimination, grouping, sorting

15.1 Physical operators

[15.1] - Scan, sort, ...

15.2 - 15.6 Implementing operators +

[15.2-15.6]

estimating their cost

Chapter 16

- 16.1[16.1] Parsing
- 16.2[16.2] Algebraic laws
- 16.3[16.3] Parse tree -> logical query plan
- 16.4[16.4] Estimating result sizes
- 16.5-7[16.5-7] Cost based optimization

Reading textbook - Chapters 15, 16

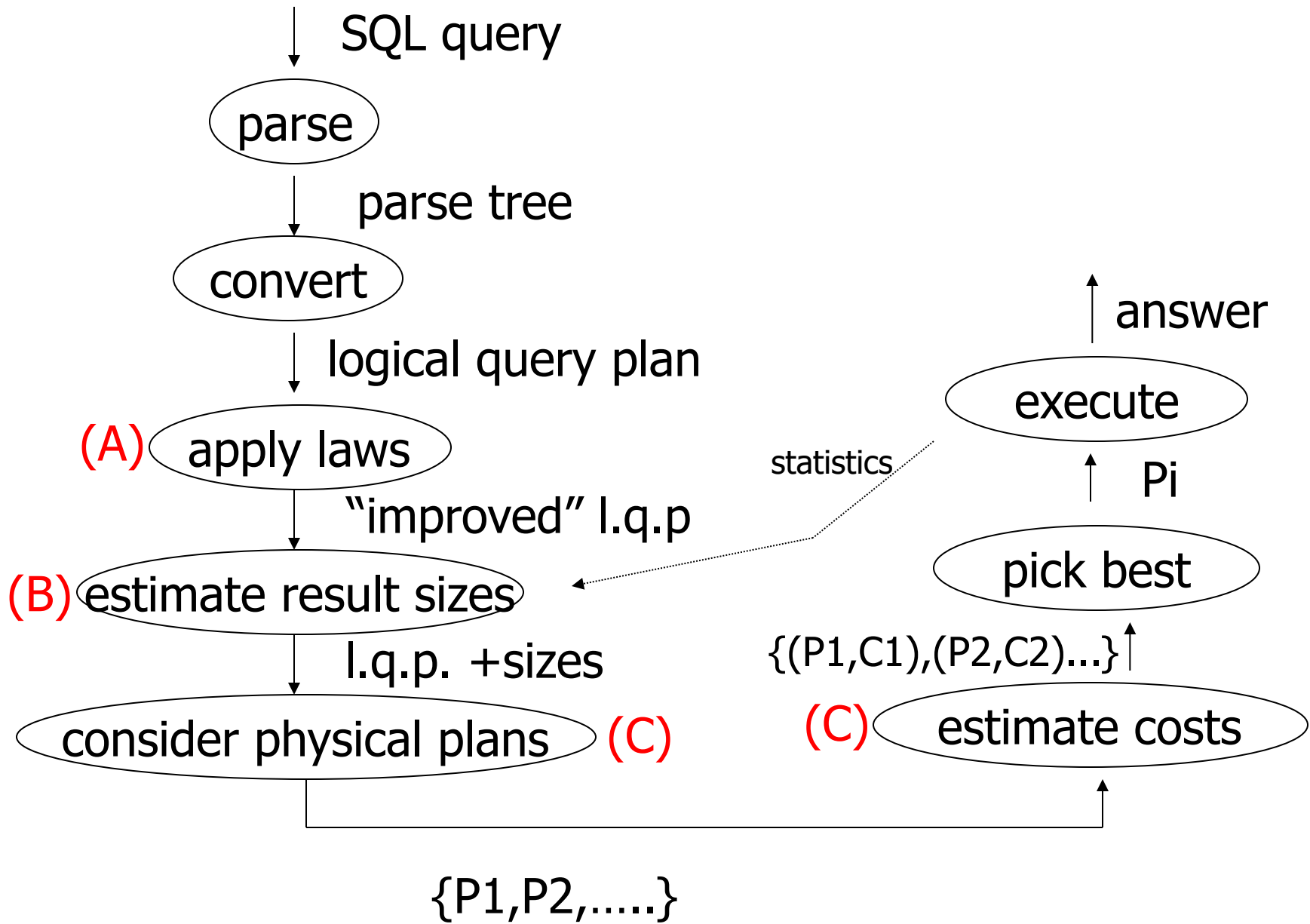
Optional:

- Sections 15.7, 15.8, 15.9 [15.7, 15.8]
- Sections 16.6, 16.7 [16.6, 16.7]

Optional: Duplicate elimination operator
grouping, aggregation operators

Query Optimization - In class order

- Relational algebra level (A)
- Detailed query plan level
 - Estimate Costs (B)
 - without indexes
 - with indexes
 - Generate and compare plans (C)



Relational algebra optimization

- Transformation rules
(preserve equivalence)
- What are good transformations?

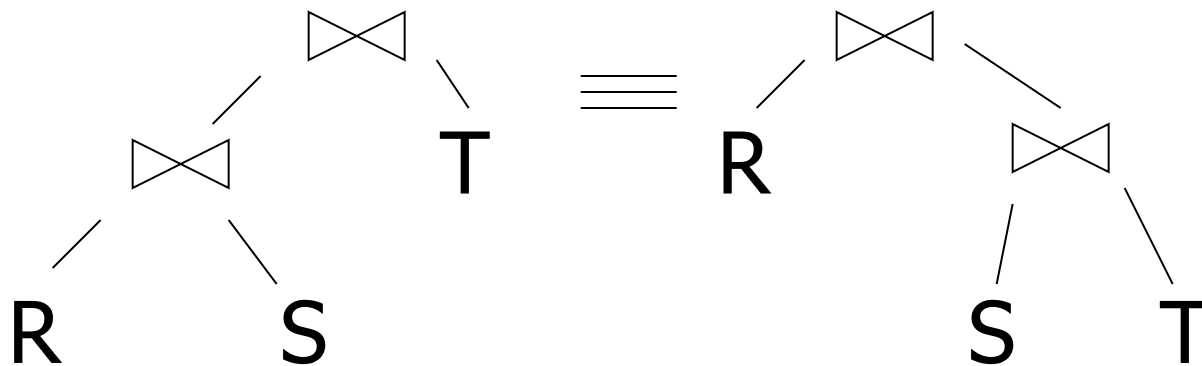
Rules: Natural joins & cross products & union

$$R \bowtie S = S \bowtie R$$

$$(R \bowtie S) \bowtie T = R \bowtie (S \bowtie T)$$

Note:

- Carry attribute names in results, so order is not important
- Can also write as trees, e.g.:



Rules: Natural joins & cross products & union

$$R \bowtie S = S \bowtie R$$

$$(R \bowtie S) \bowtie T = R \bowtie (S \bowtie T)$$

$$R \times S = S \times R$$

$$(R \times S) \times T = R \times (S \times T)$$

$$R \cup S = S \cup R$$

$$R \cup (S \cup T) = (R \cup S) \cup T$$

Rules: Selects

$$\sigma_{p1 \wedge p2}(R) =$$

$$\sigma_{p1 \vee p2}(R) =$$

Rules: Selects

$$\sigma_{p_1 \wedge p_2}(R) = \sigma_{p_1} [\sigma_{p_2}(R)]$$

$$\sigma_{p_1 \vee p_2}(R) = [\sigma_{p_1}(R)] \cup [\sigma_{p_2}(R)]$$

Bags vs. Sets

$R = \{a, a, b, b, b, c\}$

$S = \{b, b, c, c, d\}$

$R \cup S = ?$

Bags vs. Sets

$R = \{a, a, b, b, b, c\}$

$S = \{b, b, c, c, d\}$

$R \cup S = ?$

- Option 1 SUM

$R \cup S = \{a, a, b, b, b, b, b, c, c, c, d\}$

- Option 2 MAX

$R \cup S = \{a, a, b, b, b, c, c, d\}$

Option 2 (MAX) makes this rule work:

$$\sigma_{p1 \vee p2}(R) = \sigma_{p1}(R) \cup \sigma_{p2}(R)$$

Example: $R = \{a, a, b, b, b, c\}$

P1 satisfied by a,b; P2 satisfied by b,c

Option 2 (MAX) makes this rule work:

$$\sigma_{p_1 \vee p_2}(R) = \sigma_{p_1}(R) \cup \sigma_{p_2}(R)$$

Example: $R = \{a, a, b, b, b, c\}$

P1 satisfied by a,b; P2 satisfied by b,c

$$\sigma_{p_1 \vee p_2}(R) = \{a, a, b, b, b, c\}$$

$$\sigma_{p_1}(R) = \{a, a, b, b, b\}$$

$$\sigma_{p_2}(R) = \{b, b, b, c\}$$

$$\sigma_{p_1}(R) \cup \sigma_{p_2}(R) = \{a, a, b, b, b, c\}$$

“Sum” option makes more sense:

Senators (.....)

Rep (.....)

T1 = $\pi_{yr,state}$ Senators;

T2 = $\pi_{yr,state}$ Reprs

T1	Yr	State
	14	CA
	16	CA
	15	AZ

T2	Yr	State
	16	CA
	16	CA
	15	CA

Union?

Executive Decision

- > Use "SUM" option for bag unions
- > Some rules cannot be used for bags

Rules: Project

Let: X = set of attributes

Y = set of attributes

$XY = X \cup Y$

$\pi_{xy}(R) =$

Rules: Project

Let: X = set of attributes

Y = set of attributes

$XY = X \cup Y$

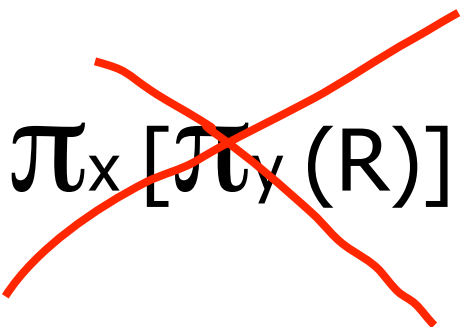
$$\pi_{xy}(R) = \pi_x[\pi_y(R)]$$

Rules: Project

Let: X = set of attributes

Y = set of attributes

$XY = X \cup Y$

$$\pi_{xy}(R) = \pi_x[\pi_y(R)]$$


Rules: $\sigma + \bowtie$ combined

Let p = predicate with only R attribs

q = predicate with only S attribs

m = predicate with only R,S attribs

$$\sigma_p (R \bowtie S) =$$

$$\sigma_q (R \bowtie S) =$$

Rules: $\sigma + \bowtie$ combined

Let p = predicate with only R attribs

q = predicate with only S attribs

m = predicate with only R,S attribs

$$\sigma_p (R \bowtie S) = [\sigma_p (R)] \bowtie S$$

$$\sigma_q (R \bowtie S) = R \bowtie [\sigma_q (S)]$$

Rules: $\sigma + \bowtie$ combined (continued)

Some Rules can be Derived:

$$\sigma_{p\lambda q} (R \bowtie S) =$$

$$\sigma_{p\lambda q\lambda m} (R \bowtie S) =$$

$$\sigma_{p\nu q} (R \bowtie S) =$$

Do one, others for homework:

$$\sigma_{p \wedge q} (R \bowtie S) = [\sigma_p (R)] \bowtie [\sigma_q (S)]$$

$$\sigma_{p \wedge q \wedge m} (R \bowtie S) = \\ \sigma_m \left[(\sigma_p R) \bowtie (\sigma_q S) \right]$$

$$\sigma_{p \vee q} (R \bowtie S) = \\ \left[(\sigma_p R) \bowtie S \right] \cup \left[R \bowtie (\sigma_q S) \right]$$

--> Derivation for first one:

$$\sigma_{p \wedge q} (R \bowtie S) =$$

--> Derivation for first one:

$$\sigma_{p \wedge q} (R \bowtie S) =$$

$$\sigma_p [\sigma_q (R \bowtie S)] =$$

$$\sigma_p [R \bowtie \sigma_q (S)] =$$

$$[\sigma_p (R)] \bowtie [\sigma_q (S)]$$

Rules: π, σ combined

Let x = subset of R attributes

z = attributes in predicate P
(subset of R attributes)

$$\pi_x[\sigma_P(R)] =$$

Rules: π, σ combined

Let x = subset of R attributes

z = attributes in predicate P
(subset of R attributes)

$$\pi_x[\sigma_p(R)] = \{\sigma_p[\pi_x(R)]\}$$

Rules: π, σ combined

Let x = subset of R attributes

z = attributes in predicate P
(subset of R attributes)

$$\pi_x[\sigma_p(R)] = \pi_x \left\{ \sigma_p \left[\overset{\pi_{xz}}{\cancel{\pi_x}}(R) \right] \right\}$$

Rules: π , \bowtie combined

Let x = subset of R attributes

y = subset of S attributes

z = intersection of R,S attributes

$$\pi_{xy} (R \bowtie S) =$$

Rules: π , \bowtie combined

Let x = subset of R attributes

y = subset of S attributes

z = intersection of R,S attributes

$$\pi_{xy} (R \bowtie S) =$$

$$\pi_{xy} \{ [\pi_{xz} (R)] \bowtie [\pi_{yz} (S)] \}$$

$$\pi_{xy} \{ \sigma_p (R \bowtie S) \} =$$

$$\pi_{xy} \{ \sigma_P (R \bowtie S) \} =$$

$$\pi_{xy} \{ \sigma_P [\pi_{xz'} (R) \bowtie \pi_{yz'} (S)] \}$$

$$z' = z \cup \{ \text{attributes used in } P \}$$

Rules for σ , π combined with X

similar...

e.g., $\sigma_p (R X S) = ?$

Rules σ, U combined:

$$\sigma_p(R \cup S) = \sigma_p(R) \cup \sigma_p(S)$$

$$\sigma_p(R - S) = \sigma_p(R) - S = \sigma_p(R) - \sigma_p(S)$$

Which are “good” transformations?

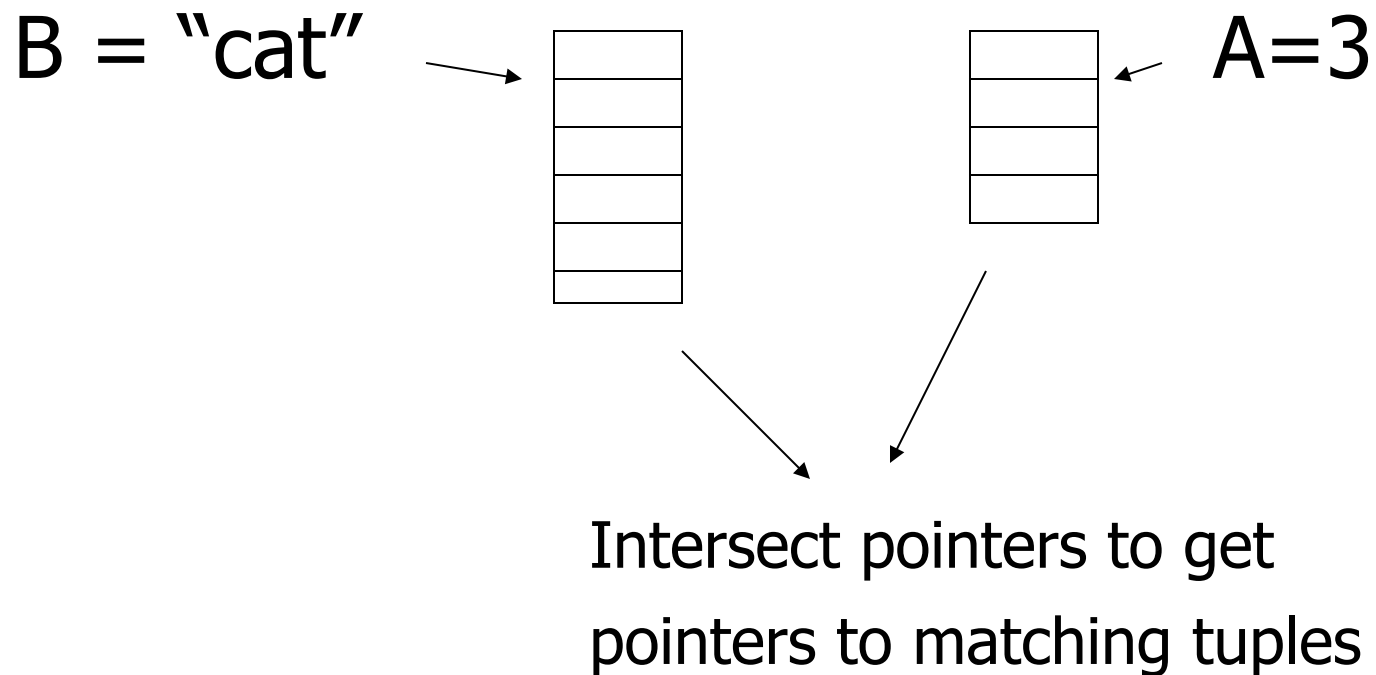
- $\sigma_{p_1 \wedge p_2}(R) \rightarrow \sigma_{p_1}[\sigma_{p_2}(R)]$
- $\sigma_p(R \bowtie S) \rightarrow [\sigma_p(R)] \bowtie S$
- $R \bowtie S \rightarrow S \bowtie R$
- $\pi_x[\sigma_p(R)] \rightarrow \pi_x\{\sigma_p[\pi_{xz}(R)]\}$

Conventional wisdom:
do projects early

Example: $R(A,B,C,D,E)$ $x=\{E\}$
 $P: (A=3) \wedge (B=\text{"cat"})$

$\pi_x \{ \sigma_p (R) \}$ vs. $\pi_E \{ \sigma_p \{ \pi_{ABE}(R) \} \}$

But What if we have A, B indexes?



Bottom line:

- No transformation is always good
- Usually good: early selections

In textbook: more transformations

- Eliminate common sub-expressions
- Other operations: duplicate elimination

Outline - Query Processing

- Relational algebra level
 - transformations
 - good transformations
- Detailed query plan level
 - estimate costs
 - generate and compare plans

- Estimating cost of query plan
 - (1) Estimating size of results
 - (2) Estimating # of IOs

Estimating result size

- Keep statistics for relation R
 - $T(R)$: # tuples in R
 - $S(R)$: # of bytes in each R tuple
 - $B(R)$: # of blocks to hold all R tuples
 - $V(R, A)$: # distinct values in R
for attribute A

Example

R

A	B	C	D
cat	1	10	a
cat	1	20	b
dog	1	30	a
dog	1	40	c
bat	1	50	d

A: 20 byte string

B: 4 byte integer

C: 8 byte date

D: 5 byte string

Example

R

A	B	C	D
cat	1	10	a
cat	1	20	b
dog	1	30	a
dog	1	40	c
bat	1	50	d

A: 20 byte string

B: 4 byte integer

C: 8 byte date

D: 5 byte string

$$T(R) = 5 \quad S(R) = 37$$

$$V(R,A) = 3$$

$$V(R,C) = 5$$

$$V(R,B) = 1$$

$$V(R,D) = 4$$

Size estimates for $W = R1 \times R2$

$T(W) =$

$S(W) =$

Size estimates for $W = R1 \times R2$

$$T(W) = T(R1) \times T(R2)$$

$$S(W) = S(R1) + S(R2)$$

Size estimate for $W = \sigma_{A=a}(R)$

$$S(W) = S(R)$$

$$T(W) = ?$$

Example

R	A	B	C	D
	cat	1	10	a
	cat	1	20	b
	dog	1	30	a
	dog	1	40	c
	bat	1	50	d

$$V(R,A)=3$$

$$V(R,B)=1$$

$$V(R,C)=5$$

$$V(R,D)=4$$

$$W = \sigma_{z=\text{val}}(R) \quad T(W) =$$

Example

R	A	B	C	D
	cat	1	10	a
	cat	1	20	b
	dog	1	30	a
	dog	1	40	c
	bat	1	50	d

$$V(R,A)=3$$

$$V(R,B)=1$$

$$V(R,C)=5$$

$$V(R,D)=4$$

what is probability this tuple will be in answer?

$$W = \sigma_{z=\text{val}}(R) \quad T(W) =$$

Example

R	A	B	C	D
	cat	1	10	a
	cat	1	20	b
	dog	1	30	a
	dog	1	40	c
	bat	1	50	d

$$V(R,A)=3$$

$$V(R,B)=1$$

$$V(R,C)=5$$

$$V(R,D)=4$$

$$W = \sigma_{z=\text{val}}(R) \quad T(W) = \frac{T(R)}{V(R,Z)}$$

Assumption:

Values in select expression $Z = \text{val}$
are uniformly distributed
over possible $V(R,Z)$ values.

Alternate Assumption:

Values in select expression $Z = \text{val}$
are uniformly distributed
over domain with $\text{DOM}(R, Z)$ values.

Example

R

A	B	C	D
cat	1	10	a
cat	1	20	b
dog	1	30	a
dog	1	40	c
bat	1	50	d

Alternate assumption

$$V(R,A)=3 \quad \text{DOM}(R,A)=10$$

$$V(R,B)=1 \quad \text{DOM}(R,B)=10$$

$$V(R,C)=5 \quad \text{DOM}(R,C)=10$$

$$V(R,D)=4 \quad \text{DOM}(R,D)=10$$

$$W = \sigma_{z=\text{val}}(R) \quad T(W) = ?$$

Example

R

A	B	C	D
cat	1	10	a
cat	1	20	b
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Alternate assumption

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$$W = \sigma_{z=\text{val}}(R) \quad T(W) = ?$$

Example

R

A	B	C	D
cat	1	10	a
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Alternate assumption

$$V(R,A)=3 \quad \text{DOM}(R,A)=10$$

$$V(R,B)=1 \quad \text{DOM}(R,B)=10$$

$$V(R,C)=5 \quad \text{DOM}(R,C)=10$$

$$V(R,D)=4 \quad \text{DOM}(R,D)=10$$

$$W = \sigma_{z=\text{val}}(R) \quad T(W) = \frac{T(R)}{\text{DOM}(R,Z)}$$

Selection cardinality

SC(R,A) = average # records that satisfy equality condition on R.A

$$SC(R,A) = \left\{ \begin{array}{l} \frac{T(R)}{V(R,A)} \\ \frac{T(R)}{DOM(R,A)} \end{array} \right.$$

What about $W = \sigma_{z \geq \text{val}}(R)$?

$T(W) = ?$

What about $W = \sigma_{z \geq \text{val}}(R)$?

$$T(W) = ?$$

- Solution # 1:

$$T(W) = T(R)/2$$

What about $W = \sigma_{z \geq \text{val}}(R)$?

$$T(W) = ?$$

- Solution # 1:

$$T(W) = T(R)/2$$

- Solution # 2:

$$T(W) = T(R)/3$$

- Solution # 3: Estimate values in range

Example R

	Z

Min=1

$V(R,Z)=10$



$W = \sigma_{z \geq 15} (R)$

Max=20

- Solution # 3: Estimate values in range

Example R

	Z

Min=1

$V(R,Z)=10$



$W = \sigma_{z \geq 15}(R)$

Max=20

$$f = \frac{20-15+1}{20-1+1} = \frac{6}{20} \quad (\text{fraction of range})$$

$$T(W) = f \times T(R)$$

Equivalently:

$f \times V(R,Z)$ = fraction of distinct values

$$T(W) = [f \times V(Z,R)] \frac{T(R)}{V(Z,R)} = f \times T(R)$$

Size estimate for $W = R1 \bowtie R2$

Let x = attributes of R1

y = attributes of R2

Size estimate for $W = R1 \bowtie R2$

Let x = attributes of R1

y = attributes of R2

Case 1

$$X \cap Y = \emptyset$$

Same as $R1 \times R2$

Case 2

$W = R1 \bowtie R2$

$X \cap Y = A$

R1	A	B	C

R2	A	D

Case 2

$$W = R1 \bowtie R2 \quad X \cap Y = A$$

R1	A	B	C

R2	A	D

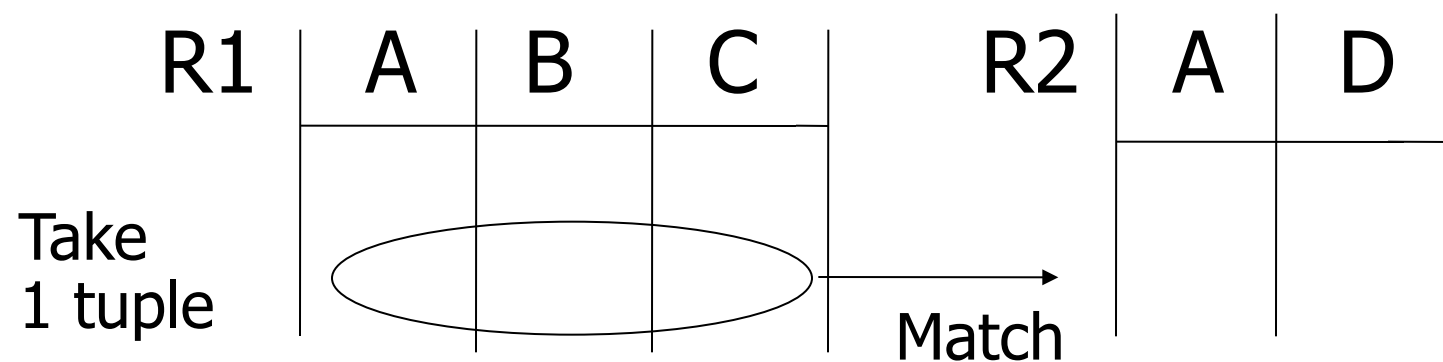
Assumption:

$V(R1,A) \leq V(R2,A) \Rightarrow$ Every A value in R1 is in R2

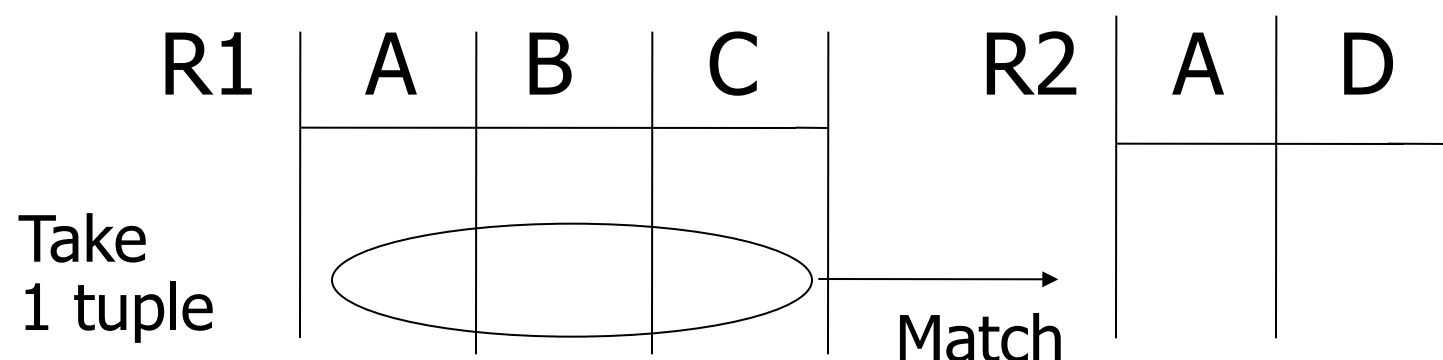
$V(R2,A) \leq V(R1,A) \Rightarrow$ Every A value in R2 is in R1

“containment of value sets” Sec. 7.4.4

Computing $T(W)$ when $V(R1,A) \leq V(R2,A)$



Computing $T(W)$ when $V(R1,A) \leq V(R2,A)$



1 tuple matches with $\frac{T(R2)}{V(R2,A)}$ tuples...

$$\text{so } T(W) = \frac{T(R2)}{V(R2, A)} \times T(R1)$$

- $V(R1,A) \leq V(R2,A) \quad T(W) = \frac{T(R2) T(R1)}{V(R2,A)}$

- $V(R2,A) \leq V(R1,A) \quad T(W) = \frac{T(R2) T(R1)}{V(R1,A)}$

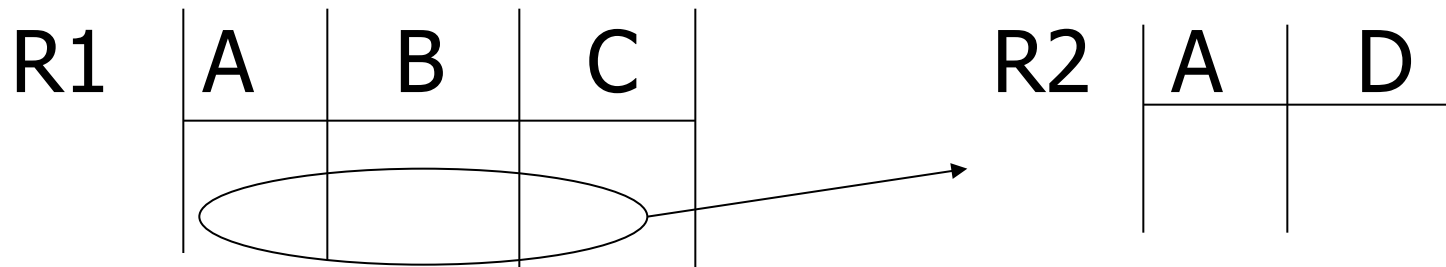
[A is common attribute]

In general $W = R1 \bowtie R2$

$$T(W) = \frac{T(R2) T(R1)}{\max\{ V(R1,A), V(R2,A) \}}$$

Case 2 with alternate assumption

Values uniformly distributed over domain



This tuple matches $T(R2)/DOM(R2,A)$ so

$$T(W) = \frac{T(R2) T(R1)}{DOM(R2, A)} = \frac{T(R2) T(R1)}{DOM(R1, A)}$$

Assume the same

In all cases:

$$S(W) = S(R1) + S(R2) - S(A)$$

←
size of attribute A

Using similar ideas,
we can estimate sizes of:

$\Pi_{AB}(R)$ Sec. 16.4.2 (same for either edition)

$\sigma_{A=a \wedge B=b}(R)$ Sec. 16.4.3

$R \bowtie S$ with common attribs. A,B,C
Sec. 16.4.5

Union, intersection, diff,
Sec. 16.4.7

Note: for complex expressions, need intermediate T,S,V results.

$$\text{E.g. } W = \underbrace{[\sigma_{A=a}(R1)]}_{\text{Treat as relation U}} \bowtie R2$$

Treat as relation U

$$T(U) = T(R1)/V(R1,A) \quad S(U) = S(R1)$$

Also need V (U, *) !!

To estimate Vs

E.g., $U = \sigma_{A=a}(R1)$

Say R1 has attribs A,B,C,D

$$V(U, A) =$$

$$V(U, B) =$$

$$V(U, C) =$$

$$V(U, D) =$$

Example

R1

A	B	C	D
cat	1	10	10
cat	1	20	20
dog	1	30	10
dog	1	40	30
bat	1	50	10

$$V(R1,A)=3$$

$$V(R1,B)=1$$

$$V(R1,C)=5$$

$$V(R1,D)=3$$

$$U = \sigma_{A=a}(R1)$$

Example

R1

A	B	C	D
cat	1	10	10
cat	1	20	20
dog	1	30	10
dog	1	40	30
bat	1	50	10

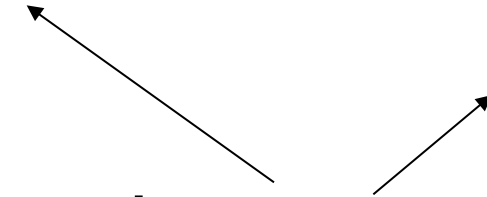
$$V(R1,A)=3$$

$$V(R1,B)=1$$

$$V(R1,C)=5$$

$$V(R1,D)=3$$

$$U = \sigma_{A=a}(R1)$$

$$V(U,A) = 1 \quad V(U,B) = 1 \quad V(U,C) = \frac{T(R1)}{V(R1,A)}$$


$V(D,U)$... somewhere in between

Possible Guess $U = \sigma_{A=a}(R)$

$$V(U,A) = 1$$

$$V(U,B) = V(R,B)$$

For Joins $U = R1(A,B) \bowtie R2(A,C)$

$$V(U,A) = \min \{ V(R1, A), V(R2, A) \}$$

$$V(U,B) = V(R1, B)$$

$$V(U,C) = V(R2, C)$$

[called “preservation of value sets” in section 7.4.4]

Example:

$$Z = R1(A,B) \bowtie R2(B,C) \bowtie R3(C,D)$$

R1	$T(R1) = 1000$	$V(R1,A)=50$	$V(R1,B)=100$
R2	$T(R2) = 2000$	$V(R2,B)=200$	$V(R2,C)=300$
R3	$T(R3) = 3000$	$V(R3,C)=90$	$V(R3,D)=500$

Partial Result: $U = R1 \bowtie R2$

$$T(U) = \frac{1000 \times 2000}{200}$$

$$V(U,A) = 50$$

$$V(U,B) = 100$$

$$V(U,C) = 300$$

$$Z = U \bowtie R3$$

$$T(Z) = \frac{1000 \times 2000 \times 3000}{200 \times 300}$$

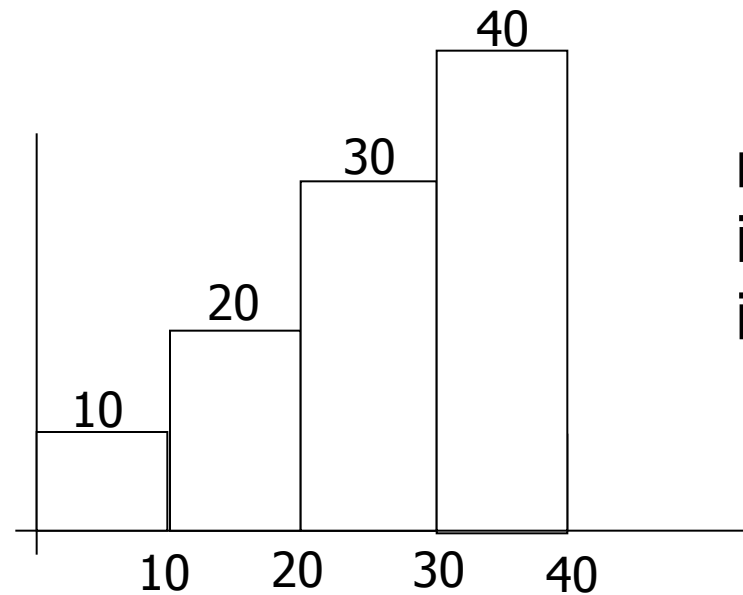
$$V(Z,A) = 50$$

$$V(Z,B) = 100$$

$$V(Z,C) = 90$$

$$V(Z,D) = 500$$

A Note on Histograms



number of tuples
in R with A value
in given range

$$\sigma_{A=\text{val}}(R) = ?$$

Summary

- Estimating size of results is an “art”
- Don't forget:
Statistics must be kept up to date...
(cost?)

Outline

- Estimating cost of query plan
 - Estimating size of results ← done!
 - Estimating # of IOs ← next...
- Generate and compare plans